

On the Sobolev quotient in sub-Riemannian geometry

Joint work with J.H.Cheng and P.Yang

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If R_g is the scalar curvature, setting $\tilde{g}(x) = \lambda(x)g(x) = u(x)^{\frac{4}{n-2}}g(x)$, $u(x)$ one has to find on M a positive solution of

$$(Y) \quad -c_n \Delta u + R_g u = \bar{R} u^{\frac{n+2}{n-2}}; \quad c_n = 4 \frac{n-1}{n-2}, \quad \bar{R} \in \mathbb{R}.$$

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Considering \bar{R} as a Lagrange multiplier, one can try to find solutions by minimizing the *Sobolev-Yamabe quotient*

$$Q_{SY}(u) = \frac{\int_M (c_n |\nabla u|^2 + R_g u^2) dV}{\left(\int_M |u|^{2^*} dV \right)^{\frac{2}{2^*}}}; \quad 2^* = \frac{2n}{n-2}.$$

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- Since S^n is conformal to \mathbb{R}^n , one has that $Y(S^n, [g_{S^n}]) = S_n$.

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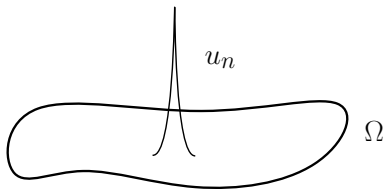
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Minimizing sequences u_n tend to concentrate indefinitely inside Ω .



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- In 1984 Schoen proved that $Y(M, [g]) < S_n$ in all other cases, i.e. $n \leq 5$ or (M, g) locally conformally flat, unless $(M, g) \simeq (S^n, g_{S^n})$.

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At large scales an approximate solution looks like the Green's function G_p of the operator L_g . If $G_p \simeq \frac{1}{|x|^{n-2}} + A$ at p , the correction is $-A/\lambda^{n-2}$.

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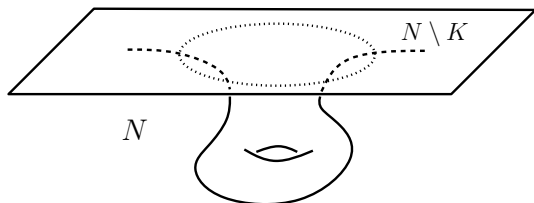
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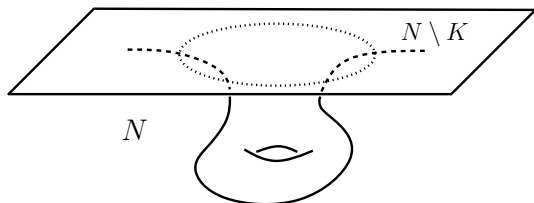


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Such manifolds describe *initial data sets* for isolated gravitational systems, and a similar definition holds for multiple *ends*.

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Then, in normal coordinates x at p , setting $y = \frac{x}{|x|^2}$ (Kelvin inversion) one has an asymptotically flat manifold in y -coordinates

$$\tilde{g}(x) \simeq \frac{dx^2}{|x|^4} \simeq dy^2, \quad (y \text{ large}).$$

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In fact, one has

$$\frac{d}{dg} (R_g dV_g) [h] = - (h^{ij} E_{ij} + \operatorname{div} X) dV_g,$$

where X is some vector field.

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Example 2: Conformal blow-ups. If G_p is the Green's function of an elliptic operator on \hat{M} with pole at p , then $G_p(x) \simeq d(x, p)^{-1}$.

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$$m(g) := \lim_{r \rightarrow \infty} \oint_{S_r} (\partial_k g_{jk} - \partial_j g_{kk}) \nu^j d\sigma.$$

Example 1: Schwarzschild. m_{ADM} = black-hole mass.

Example 2: Conformal blow-ups. If G_p is the Green's function of an elliptic operator on \hat{M} with pole at p , then $G_p(x) \simeq d(x, p)^{-1}$. If $f(x) = G_p^4 \simeq d(x, p)^{-4}$ and $\tilde{g}(x) = f(x)g(x)$, then

$$m_{ADM} = \lim_{x \rightarrow p} \left(G_p(x) - \frac{1}{d(x, p)} \right) = A.$$

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The proof used the construction of stable asymptotically planar minimal surfaces assuming $m < 0$, obtaining then a contradiction from the second variation formula using $R_g \geq 0$.

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This condition is quite important for the study of biholomorphic mappings and the $\bar{\partial}$ -Neumann problem ([Beals-Fefferman-Grossman, '83]).

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More relations between P and embeddability properties of CR manifolds in [Chanillo-Case-Yang, '16], [Takeuchi, '19].

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- The proof uses a tricky integration by parts: the main idea was to bring-in the Paneitz operator to write the mass as sum of squares.
- Positivity of the mass implies that the Sobolev-Webster quotient of the manifold is lower than that of the sphere, and minimizers exist.

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In these cases the Paneitz operator cannot be positive-definite.

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Theorem 2 ([Cheng-M.-Yang, '19])

On the positivity condition for the Paneitz operator

Consider S^3 in \mathbb{C}^2 . Its *standard CR structure* $J_{(0)}$ is given by

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One then needs to verify that the two expansions match, obtaining then the asymptotic behaviour for $s \rightarrow 0$ of $A_{(s)}$, proportional to the mass. \square

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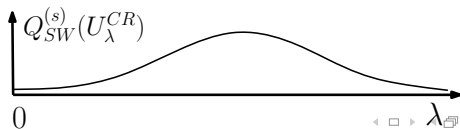
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- Minima of the quotient on S_0^3 were classified in [Jerison-Lee, '88] as (CR counterparts of) Aubin-Talenti functions: call them U_λ^{CR} ($\lambda > 0$).
- For $|s| \neq 0$ small, the Webster quotient of the functions U_λ^{CR} has a profile of this kind, for λ in a fixed compact set of $(0, \infty)$



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However in this way we cannot guarantee high energy for all values of λ : some *intermediate range* is missing. To cover that too, we exploit an *isomorphism* between S_{+s}^3 and S_{-s}^3 . By evenness in the parameter s , this implies that indeed

$$Q_{SW}^{(s)}(U_\lambda^{CR}) \simeq Q_{SW}^{(0)}(S^3) - \frac{m_{(s)}}{\lambda^2} + O(s^2\lambda^{-3}),$$

proving a strict inequality for all λ 's. □

Comments

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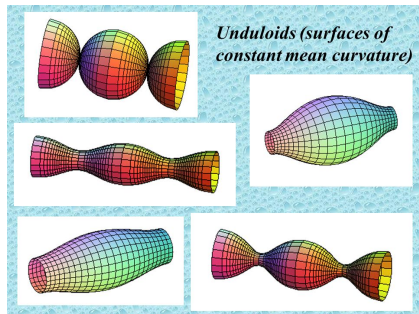
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For the Heisenberg group there is a recent construction in [Afeltra, '19], where solutions similar to *Delaunay's unduloids* were produced.



Thanks for your attention