Some Extensions and Analysis of Flux and Stress Theory

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Cauchy's Flux Theorem in Light of Geometric Integration Theory

Objective: Presentation of the theory of Cauchy fluxes in the framework of geometric integration theory as formulated by H. Whitney and extended recently by J. Harrison.

Traditional Approach:

In terms of scalar extensive property in space, one assumes:

- Balance: $T(\partial \mathscr{B}) + S(\mathscr{B}) = 0$
- *Regularity*: $S(\mathscr{B}) = \int_{\mathscr{B}} \beta_{\mathscr{B}} \, \mathrm{d}V$ and $T(\partial \mathscr{B}) = \int_{\partial \mathscr{B}} \tau_{\mathscr{B}} \, \mathrm{d}A$
- Locality (pointwise): $\beta_{\mathscr{B}}(x) = \beta(x)$ and $\tau_{\mathscr{B}}(x) = \tau(x, n)$
- *Continuity*: $\tau(\cdot, n)$ is continuous.

Cauchy's Theorem

asserts that $\tau(p, n)$ depends linearly on n. There is a vector field h such that

 $\tau = h \cdot n.$

Considering smooth regions such that Gauss-Green Theorem may be applied, the balance may be written in the form of a differential equation as

$$\operatorname{div} \boldsymbol{h} + \boldsymbol{\beta} = 0.$$

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Contributions in Continuum Mechanics - I

Noll (1957): t(n) implied by local dependence on open sets of the boundary.

Gurtin & Williams (1967): Interaction I(A, B) on a universe of bodies

bi-additive: I(A
ightarrow B, C) = I(A, C) + I(B, C), *bounded:* $|I(A, B)| \le l \cdot \operatorname{area}(\partial A \cap \partial B) + k \cdot \operatorname{volume}(A),$ *Pairwise balanced:* I(A, B) = -I(B, A),*Continuity:* $t(\cdot, n)$ is continuous (omitted in later works).

Continued later by Noll (1973,1986), Gurtin, Williams & Ziemer (1986), Noll & Virga (1988), etc.

Contributions in Continuum Mechanics - II

Gurtin & Martins (1975): Relaxing the continuity of t(p, n) in p, proved linearity in n almost everywhere.

Šilhavý (1985,1991): Admissible bodies are sets of finite perimeter in E^n , and the assumptions and results are assumed to hold for "almost every subbody", in a way which allows singularities. The resulting flux vector t has an L^p weak divergence.

Degiovanni & Marzocchi & Musesti (1999) generalize Šilhavý by considering fluxes which are only locally integrable. The field $b = -\operatorname{div} \tau$ is meaningful only in the weak sense.

Šilhavý (recent work): Admissible bodies are general open sets, fluxes are divergence measure fields, problem with the normal trace—the generalization of τ .

Geometric measure theory [de Giorgi, Federer, Fleming] is used for specifying the class of bodies, generalized definitions of *n*, generalized Gauss Theorem.

Previous work:

Segev 1986, 1991 Stress theory for manifolds without a metric using a weak formulation. Stresses may be as irregular as measures. Works for continuum mechanics of any order.

Segev 2000, Segev & Rodnay 1999: Classical Cauchy approach on general manifolds using differential forms

Reference:

G. Rodnay & R. Segev, 2003, Cauchy's Flux Theorem in Light of Geometric Integration Theory, Journal of Elasticity, 71 (Truesdell Memorial Volume), 183–203.

The Proposed Formulation

Uses *Geometric Integration Theory* by Whitney (1947, 1957), Wolf (1948), and later Harrison (1993,1998), rather than *Geometric Measure Theory* (e.g., [de Giorgi, Federer, Fleming]).

- Building blocks: *r*-dimensional oriented cells in *Eⁿ*.
- Formal vector space of *r*-cells: polyhedral *r*-chains.
- Complete w.r.t a norm: Banach space of *r*-chains.
- Elements of the dual space: *r*-cochains.

Relevance to Continuum Mechanics

- The total flux operator on regions is modelled mathematically by a cochain.
- Cauchy's flux theorem is implied by a representation theorem for cochains by forms.

Features of the Proposed Formulation

- It offers a common point of view for the analysis of the following aspects: *class of domains, integration, Stokes' Theorem, and fluxes.*
- *Irregular domains and flux fields.* Smoother fluxes allow less regular domains and vise versa in an optimal way. Examples:
 - Domains as irregular as Dirac measure and its derivatives—differentiable flux fields.
 - ► *L*¹ regions—bounded and measurable flux fields
- Codimension not limited to 1. Allows membranes, strings, etc. Not only the boundary is irregular, but so is the domain itself.
- Compatible with the formulation on general manifolds.

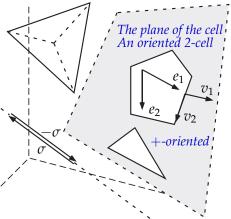
The Structure of the Presentation

- Cells and polyhedral chains
- Algebraic cochains
- Norms and the complete spaces of chains (flat, sharp, natural)
- The representation of cochains by forms:
 - Multivectors and forms
 - Integration
 - Representation
 - Coboundaries and balance equations

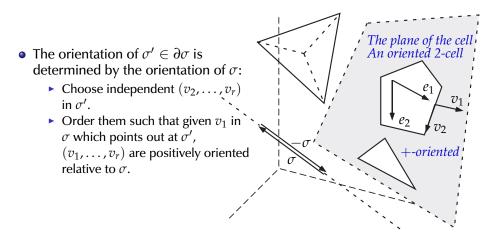
Cells and Polyhedral Chains

Oriented Cells

- A *cell*, *σ*, is a non empty bounded subset of *Eⁿ* expressed as an intersection of a finite collection of half spaces.
- The *plane of* σ is the smallest affine subspace containing σ .
- The *dimension* of *σ* is the dimension` of its plane, an *r*-cell.
- An *oriented r*-cell is an *r*-cell with a choice of one of the two orientations of the vector space associated with its plane.



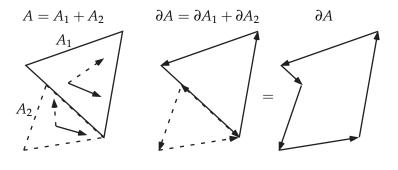
Oriented Cells (continued)



Polyhedral Chains

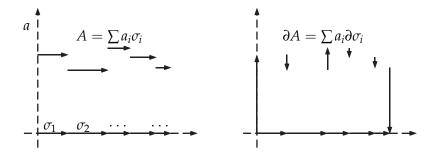
- A *polyhedral r-chain* in *Eⁿ* is an element of the vector space spanned by formal linear combinations of *r*-cells, together with:
 - The polyhedral chain 1σ is identified with the cell σ .
 - We associate multiplication of a cell by -1 with the operation of inversion of orientation, i.e., $-1\sigma = -\sigma$.
 - If σ is cut into $\sigma_1, \ldots, \sigma_m$, then σ and $\sigma_1 + \ldots + \sigma_m$ are identified.
- The space of polyhedral *r*-chains in E^n is now an *infinite-dimensional vector space* denoted by $\mathscr{A}_r(E^n)$.
- The *boundary of a polyhedral r-chain* $A = \sum a_i \sigma_i$ is $\partial A = \sum a_i \partial \sigma_i$. Note that ∂ is a linear operator $\mathscr{A}_r(E^n) \longrightarrow \mathscr{A}_{r-1}(E^n)$.

Polyhedral Chains: Illustration



 $\partial \colon \mathscr{A}_r \to \mathscr{A}_{r-1}$

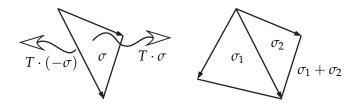
A Polyhedral Chain as a Function



Total Fluxes as Cochains

A *cochain:* Linear $T: \mathscr{A}_r \to \mathbb{R}$. Algebraic implications:

- additivity,
- interaction antisymmetry.



 $T \cdot (-\sigma) = -T \cdot \sigma, \qquad T \cdot (\sigma_1 + \sigma_2) = T \cdot \sigma_1 + T \cdot \sigma_2$

Norms and the Complete Spaces of Chains

The Norm Induced by Boundedness

Basic Idea

Regard the flux as a *continuous linear functional* on the space of chains w.r.t. a norm

$$|T\cdot A|\leqslant C_T\|A\|,$$

where the *flat norm* (smallest) is given as

$$||A|| = |A|^{\flat} = \inf_{D} \{|A - \partial D| + |D|\}.$$

Flat Chains

The *mass* of a polyhedral *r*-chain A = ∑a_iσ_i is |A| = ∑|a_i||σ_i|.
The *flat norm*, |A|^b, of a polyhedral *r*-chain:

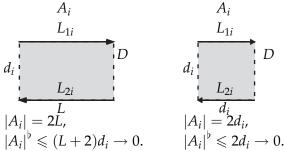
$$|A|^{\flat} = \inf\{|A - \partial D| + |D|\},\$$

using all polyhedral (r + 1)-chains *D*.

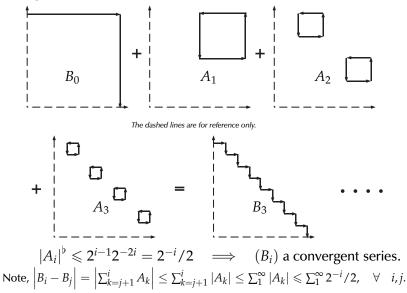
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- Taking D = 0, $|A|^{\flat} \leq |A|$.
- If $A = \partial B$, taking D = B gives $|A|^{\flat} \leq |B|$. Hence, $|\partial B|^{\flat} \leq |B|$.
- Completing $\mathscr{A}_r(E^n)$ w.r.t the flat norm gives a Banach space denoted by $\mathscr{A}_r^{\flat}(E^n)$, whose elements are *flat r*-chains in E^n .
- Flat chains may be used to represent continuous and smooth submanifolds of *Eⁿ* and even irregular surfaces.
- The *boundary of a flat* (r + 1)-*chain* $A = \lim^{b} A_{i}$, is the a flat *r*-chain $\partial A = \lim \partial A_{i}$.

Flat Chains, an Example (Illustration - I):

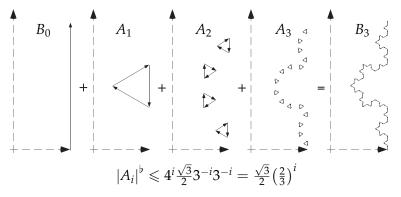


Example: The Staircase



Example: the Van Koch Snowflake

 A_i contains 4^i triangles of side length 3^{-i} . Each time the length increases by $2 \cdot 3^{-i} \cdot 4^i = 2\left(\frac{4}{3}\right)^i$. Hence, $|B_i| \to \infty$.



Flat Chains: Federer's Point of View

- Flat chains are *distributions* defined on the space of smooth differential forms.
- The *flat semi-norm of a smooth differential form φ*, supported in some compact set, is given by

$$\|\phi\| = \sup_{x} \{ |\phi(x)|, |d\phi(x)| \}.$$

• The *flat semi-norm of a linear functional T* is the dual norm

$$\|T\| = \sup_{\phi} \frac{T(\phi)}{\|\phi\|}.$$

Sharp Chains

• Add regularity to the cochains by requiring that

 $|T \cdot (\sigma - \operatorname{trans}_v \sigma)| \leqslant C_T |\sigma| |v|$,

where trans_v is a *translation operator*, which moves $p \in \sigma$ to p + v.

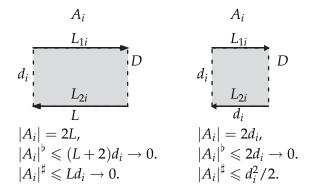
• This will be implied by continuity if we use the *sharp norm* $|A|^{\sharp}$ of a polyhedral *r*-chain $A = \sum a_i \sigma_i$:

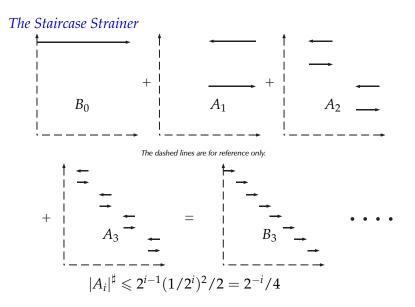
$$|A|^{\sharp} = \inf\left\{\frac{\sum |a_i||\sigma_i||v_i|}{r+1} + \left|\sum a_i \operatorname{trans}_{v_i} \sigma_i\right|^{\flat}\right\},\,$$

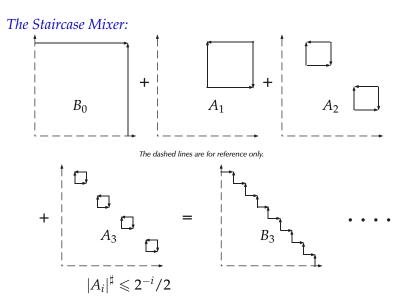
using all vectors $v_i \in E^n$.

- Completing $\mathscr{A}_r(E^n)$ w.r.t the sharp norm, gives $\mathscr{A}_r^{\sharp}(E^n)$ whose elements are *sharp* chains.
- Setting all $v_i = 0$, we conclude that $|A|^{\sharp} \leq |A|^{\flat}$. Hence, $\mathscr{A}_r^{\flat}(E^n)$ is a Banach subspace of $\mathscr{A}_r^{\sharp}(E^n)$.

Sharp Chains, an Example (Illustration - I):







Harrison's Theory: Dipoles

- A *simple r-dimensional* 0-*dipole*: *r*-simplex σ^0 with diam $(\sigma^0) \leq 1$.
- A simple *r*-dimensional 1-dipole: $\sigma^1 = \sigma^0 \operatorname{trans}_{v_1} \sigma^0$, such that $|v_1| \leq 1$ and $\operatorname{trans}_{v_1} \sigma^0$ disjoint from σ^0 .
- A simple *r*-dimensional *j*-dipole: an *r*-chain

$$\sigma^j = \sigma^{j-1} - \operatorname{trans}_{v_j} \sigma^{j-1},$$

such that $|v_j| \leq 1$ and trans_{$v_j} <math>\sigma^{j-1}$ disjoint from σ^{j-1} .</sub>

- A simple *j*-dipole is determined by σ^0 and v_1, \ldots, v_j .
- A *j-dipole* is a simplicial chain

$$D^j = \sum_i a_i \sigma_i^j$$

of simple *j*-dipoles.

The Natural Norm I

• The *j*-dipole mass of a simple *j*-dipole is defined by

$$\left|\sigma^{j}\right|_{j}=\left|\sigma^{0}\right|\left|v_{1}\right|\cdots\left|v_{j}\right|.$$

• The *j*-dipole mass of the *j*-dipole $D^j = \sum_i a_i \sigma_i^j$ is defined as

$$\left|D^{j}\right|_{j}=\sum_{i}\left|a_{i}\right|\left|\sigma_{i}^{j}\right|_{j}.$$

• The *k*-natural norm on the space of polyhedral chains:

$$|A|_{k}^{\natural} = \inf \left\{ \sum_{s=0}^{k} |D^{s}|_{s} + |C|_{k-1} \right\},\$$

over decompositions $A = \sum_{s=0}^{k} D^{s} + \partial C$, for dipoles D^{s} .

• Completing $\mathscr{A}_r(E^n)$ w.r.t the *k*-natural norm, gives \mathscr{A}_r^k whose elements are *k*-natural *r*-chains.

The Natural Norm II

- The 0-natural norm equivalent to the flat norm.
- As *k*-increases, the the spaces of natural chains increase.
- The *Riemann integral* over a natural *r*-chain $A = \lim A_i$, is defined by

$$\int_A \tau = \lim \int_{A_i} \tau.$$

For the *r*-form τ with k - 1 bounded derivatives and *k*-th derivative Lipschitz, the limit exists.

• The boundary operator is a continuous linear operator $\partial \colon \mathscr{A}_r^k \to \mathscr{A}_{r-1}^{k-1}$.

The Representation of Cochains by Forms

Basic Problem:

A representation theorem for cochains in terms of fields.

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Flux and Stress Theories

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Why not a vector?

- Say the flux is represented by
 - τ_0 with respect to the *reference* coordinate system (Piola),
 - τ relative to the *space* coordinate system (Cauchy).
- The relation between the two is given by

$$\boldsymbol{\tau}_0 = |F| \, F^{-1}(\boldsymbol{\tau}),$$

F is the deformation gradient.

• We would expect a transformation of form

$$\boldsymbol{\tau}_0 = \boldsymbol{F}^{-1}\boldsymbol{\tau},$$

if the flux were a vector field.

Multivectors

- A *simple r-vector* in *V* is an expression of the form $v_1 \land \cdots \land v_r$, where $v_i \in V$.
- An *r*-vector in *V* is an element of the vector space *V_r* of formal linear combinations of simple *r*-vectors, together with:

(1)
$$v_1 \wedge \cdots \wedge (v_i + v'_i) \wedge \cdots \wedge v_r$$

 $= v_1 \wedge \cdots \wedge v_i \wedge \cdots \wedge v_r + v_1 \wedge \cdots \wedge v'_i \wedge \cdots \wedge v_r;$
(2) $v_1 \wedge \cdots \wedge (av_i) \wedge \cdots \wedge v_r = a(v_1 \wedge \cdots \wedge v_i \wedge \cdots \wedge v_r);$
(3) $v_1 \wedge \cdots \wedge v_i \wedge \cdots \wedge v_j \wedge \cdots \wedge v_r$
 $= -v_1 \wedge \cdots \wedge v_j \wedge \cdots \wedge v_i \wedge \cdots \wedge v_r.$

• The dimension of V_r is dim $V_r = \frac{n!}{(n-r)!r!}$.

• Given a basis $\{e_i\}$ of V, the *r*-vectors $\{e_{\lambda_1...\lambda_r} = e_{\lambda_1} \land \cdots \land e_{\lambda_r}\}$, such that $1 \le \lambda_1 < \cdots < \lambda_r \le n$, *form a basis* of V_r .

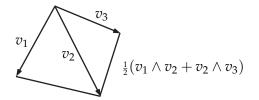
Multivectors and Polyhedral Chains

• Given an oriented *r*-simplex σ in E^n , with vertices $\{p_0 \dots p_r\}$, the *r*-vector of σ , $\{\sigma\}$, is $\{\sigma\} = v_1 \wedge \dots \wedge v_r/r!$, where the v_i are defined by $v_i = p_i - p_0$ and are ordered such that they belong to σ 's orientation.

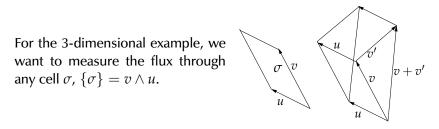
 $\{\sigma\}$ represents the *plane, orientation* and *area* of σ —the relevant aspects.

• The *r*-vector of a polyhedral *r*-chain $\sum a_i \sigma_i$, is

$$\{\sum a_i\sigma_i\}=\sum a_i\{\sigma_i\}.$$



Why an *r*-covector?



- Denote by $\bar{\tau}(\sigma)$ the flux through that infinitesimal element.
- As τ depends only the plane, orientation and area, we expect

$$\bar{\tau}(\sigma) = \tilde{\tau}(\{\sigma\}).$$

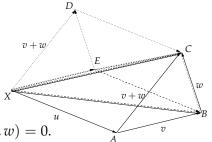
Balance: τ̃ is linear

$$\bar{\tau}(\sigma) = \tau \cdot \{\sigma\}.$$

Rough Proof

Consider the infinitesimal tetrahedron X, A, B, C generated by the three vectors u, v, w.

- Use right-handed orientation.
- Balance implies:



$$J(v, u) + J(v, w) + J(u, v + w) - J(u + v, w) = 0.$$

— Same for *X*, *B*, *C*, *E* and *X*, *C*, *D*, *E*

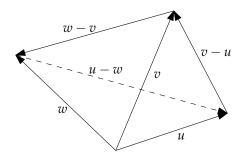
$$J(w, u) + J(u + v, w) + J(v, u) - J(v, w + u) = 0$$

$$J(w, u) - J(v + w, u) - J(v, w) + J(v, w + u) = 0.$$

- Add up to obtain: J(u, v + w) = J(u, v) + J(u, w).

Or Using Multi-Vectors

- Consider the infinitesimal tetrahedron *D* generated by the three vectors u, v, w and let $A = \partial D$.
- |A|^b ≤ |A − ∂D| + |D| → 0, as the volume of the tetrahedron decreases.
- Thus, $\lim J(\{A\}) = 0$.
- Use right-handed orientation.



Thus:
$$J(u \wedge v) + J(v \wedge w) + J(w \wedge u) + J((w - v) \wedge (v - u)) = 0.$$

Using: $(w - v) \wedge (v - u) = w \wedge v - w \wedge u + v \wedge u = -u \wedge v - v \wedge w - w \wedge u,$

we conclude: $J(u \wedge v + v \wedge w + w \wedge u) = J(u \wedge v) + J(v \wedge w) + J(w \wedge u).$

Multi-Covectors

- An *r*-covector is an element of V^r —the dual space of V_r .
- *r*-covectors can be expressed using *covectors*:

$$V^r = (V^*)_r = L^r_A(V, \mathbb{R}).$$

 $(V^*)_r$ is the space of *multi-covectors*, i.e., constructed as V_r using elements of the dual space V^* :

$$\tau = f_{\lambda_1 \cdots \lambda_r} e^{\lambda_1} \wedge \cdots \wedge e^{\lambda_r}, \quad \lambda_i \leqslant \lambda_{i+1}.$$

• *r*-covectors may be identified with *alternating multilinear* mappings:

$$V^r = L^r_A(V, \mathbb{R}), \quad \text{by} \quad \tau(v_1 \wedge v_2 \wedge \cdots \wedge v_r) = \overline{\tau}(v_1, \ldots, v_r).$$

Riemann Integration of Forms Over Polyhedral Chains

- An *r*-form in $Q \subset E^n$ is an *r*-covector valued mapping in Q.
- An *r*-form is continuous if its components are continuous functions.
- The *Riemann integral* of a continuous *r*-form τ over an *r*-simplex σ is defined as

$$\int_{\sigma} \tau = \lim_{k \to \infty} \sum_{\sigma_i \in \mathcal{S}_k \sigma} \tau(p_i) \cdot \{\sigma_i\},$$

where $S_i \sigma$ is a sequence of *simplicial subdivisions* of σ with mesh $\rightarrow 0$, and each p_i is a point in σ_i .

• The Riemann integral of a continuous *r*-form over a *polyhedral r-chain* $A = \sum a_i \sigma_i$, is defined by $\int_A \tau = \sum a_i \int_{\sigma_i} \tau$.

Lebesgue Integral of Forms over Polyhedral Chains

- An *r*-form in *Eⁿ* is *bounded and measurable* if all its components are bounded and measurable.
- The *Lebesgue integral* of an *r*-form τ over an *r*-cell σ is defined by

$$\int_{\sigma} \tau = \int_{\sigma} \tau(p) \cdot \frac{\{\sigma\}}{|\sigma|} \, dp,$$

where the integral on the right is a Lebesgue integral of a real function.This is extended by linearity to domains that are polyhedral chains by

$$\int_A \tau = \sum a_i \int_{\sigma_i} \tau,$$

if $A = \sum_i a_i \sigma_i$.

The Cauchy Mapping

The *Cauchy mapping*, D_T, for the *cochain T*, gives D_T(p, α), at the point p in the direction α defined by the cell σ, defined as:

$$D_T(p, \alpha) = \lim_{i \to \infty} T \cdot \frac{\sigma_i}{|\sigma_i|}, \quad \alpha = \frac{\sigma_i}{|\sigma_i|}$$

where all σ_i contain p, have r-direction α and $\lim_{i\to\infty} \operatorname{diam}(\sigma_i) = 0$.

• The Cauchy mapping for a given cochain *T*, of *r*-directions is analogous to the dependence of the flux density on the unit normal.

The Representation Theorem

Whitney:

• *The analog to Cauchy's flux theorem.* For each *r*-cochain *T* the Cauchy mapping *D*_{*T*} may be extended to an *r*-form that represents *T* by

$$T\cdot A=\int_A D_T,$$

for every chain A, i.e., D_T is linear in α . (We use the same notation for the form and the Cauchy mapping.)

- There is an isomorphism between sharp *r*-cochains *T* and bounded Lipschitz *r*-forms *D*_{*T*}, called sharp *r*-forms.
- For flat *r*-forms D_T is not unique. There is an isomorphism between flat *r*-cochains and equivalence classes of bounded and measurable *r*-forms under equality almost everywhere, that are called flat *r*-forms.
- There is an isomorphism between *k*-natural cochains *T* and *r*-forms with the first *k* derivatives bounded and Lipschitz *k*-th derivative.

Coboundaries and Balance Equations

• The *coboundary* dT of an *r*-cochain *T* is the (r + 1)-cochain defined by

$$dT \cdot A = T \cdot \partial A,$$

i.e., it is *the dual of the boundary operator* for chains.

- The coboundaries of flat and sharp cochains are flat.
- Hence, there is a flat cochain *S* satisfying the global balance equation:

$$S \cdot A + T \cdot \partial A = 0, \quad \forall A, \implies dT + S = 0.$$

Stokes' theorem for Polyhedral Chains

• The *exterior derivative* of a *differentiable r*-form τ is an (r + 1)-form $d\tau$ defined by

$$d\tau(p)\cdot(v_1\wedge\cdots\wedge v_{r+1})=\sum_{i=1}^{r+1}(-1)^{i-1}\nabla_{v_i}\tau(p)\cdot(v_1\wedge\cdots\wedge \widehat{v}_i\wedge\cdots\wedge v_{r+1})$$

where \hat{v}_i denotes a vector that has been omitted, and ∇_{v_i} is a directional derivative operator.

• Stokes' theorem for polyhedral chains, based on the fundamental theorem of differential calculus, states that

$$\int_{A} d\tau = \int_{\partial A} \tau$$

for every differentiable *r*-form τ and an (r+1)-polyhedral chain *A*.

The Local Balance Equation

• For *natural cochains* D_T is differentiable and D_{dT} is the exterior derivative of D_T , i.e.,

$$D_{dT}=dD_T.$$

Thus, using τ for D_T and b for the form $-D_{dT}$ we get the differential balance equation:

$$d\tau + b = 0.$$

- If $\tau = D_T$ is an arbitrary *flat form*, we may consider any $d_0\tau$ in the equivalence class of D_{dT} . Hence, we obtain the local $d_0\tau + b = 0$. Thus, one may write the differential balance in the general situation of *flat cochains*.
- If *T* is a *sharp cochain*, the coordinates of $\tau = D_T$ are Lipschitz, hence, $d\tau$ is defined almost everywhere. Furthermore, it turns out that $d_0\tau = d\tau$ almost everywhere.