

# RATE INDEPENDENT PROCESSES IN VISCOUS SOLIDS AND THEIR THERMODYNAMICS

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(Workshop “Rate-independency etc.”, Pisa 2007)

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## Combination of rate-independent vs. rate-dependent processes.

Example: plasticity or damage etc. vs. inertia, viscosity, or thermo-coupling.

Generalized standard materials (Halphen and Nguen) at small strains:

$$\rho \frac{\partial^2 u}{\partial t^2} - \operatorname{div}(\sigma) = f, \quad \underbrace{\sigma = \zeta_2' \left( e \left( \frac{\partial u}{\partial t} \right) \right) + \sigma_{\text{el}}}_{\text{Kelvin-Voigt rheology}}, \quad \underbrace{e(u) = \frac{\nabla u^\top + \nabla u}{2}}_{\text{small-strain tensor}},$$

$$\partial \zeta_1 \left( \frac{\partial z}{\partial t} \right) + \sigma_{\text{in}} \ni 0, \quad \underbrace{\sigma_{\text{el}} = \varphi_e'(e(u), z)}_{\text{elastic stress}}, \quad \underbrace{\sigma_{\text{in}} = \varphi_z'(e(u), z)}_{\text{"inelastic" stress}},$$

where

- $u : \Omega \rightarrow \mathbb{R}^n$  is a displacement,
- $z : \Omega \rightarrow \mathbb{R}^m$  a vector of internal parameters,
- $\sigma$  the stress tensor,
- $\varphi : \mathbb{R}^{n \times n} \times \mathbb{R}^m \rightarrow \mathbb{R}$  is a stored energy, and
- $\zeta_2 : \mathbb{R}^{n \times n} \rightarrow [0, +\infty)$  and  $\zeta_1 : \mathbb{R}^m \rightarrow [0, +\infty]$  are (pseudo)potentials of dissipative forces.

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- $\zeta_2 : \mathbb{R}^{n \times n} \rightarrow [0, +\infty)$  **homogeneous degree-2** (quadratic, viscosity),  
 $\zeta_1 : \mathbb{R}^m \rightarrow [0, +\infty]$  **homogeneous degree-1** (activated response).

Energetics (at least formally):

$$\frac{d}{dt} \underbrace{\int_{\Omega} \frac{\rho}{2} \left| \frac{\partial u}{\partial t} \right|^2 + \varphi(e(u), z) dx}_{\text{kinetic and stored energy}} + \underbrace{\int_{\Omega} \xi \left( e \left( \frac{\partial u}{\partial t} \right), \frac{\partial z}{\partial t} \right) dx}_{\text{rate of dissipation}} = \underbrace{\int_{\Omega} f \cdot \frac{\partial u}{\partial t} dx}_{\text{power of external force}}$$

where

$$\xi \left( e \left( \frac{\partial u}{\partial t} \right), \frac{\partial z}{\partial t} \right) := \partial \zeta_1 \left( \frac{\partial z}{\partial t} \right) \frac{\partial z}{\partial t} + \zeta_2' \left( e \left( \frac{\partial u}{\partial t} \right) \right) : e \left( \frac{\partial u}{\partial t} \right).$$

Homogeneity degree- $\ell$ :

$$\forall \ell = 1, 2 \quad \forall r \geq 0 \quad \forall v : \quad \zeta_{\ell}(rv) = r^{\ell} \zeta_{\ell}(v).$$

Elementary calculus shows the formula for the directional derivative  $\zeta'_{\ell}(v)v$ :

$$\zeta'_{\ell}(v)v = \lim_{\varepsilon \rightarrow 0^+} \frac{\zeta_{\ell}(v + \varepsilon v) - \zeta_{\ell}(v)}{\varepsilon} = \lim_{\varepsilon \rightarrow 0^+} \frac{(1 + \varepsilon)^{\ell} - 1}{\varepsilon} \zeta_{\ell}(v) = \ell \zeta_{\ell}(v).$$

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Without loss of generality, we may consider

$$\zeta_1(\dot{z}) := \delta_K^*(\dot{z}), \quad \text{with } K \subset \mathbb{R}^m \text{ convex closed,}$$

where  $\delta_K^*$  is the conjugate function to the indicator function  $\delta_K$  of  $K$ .

- Assuming  $K$  bounded (resp. containing 0 in its interior) makes  $\zeta_1$  coercive (resp. bounded). Also,  $K = \partial\zeta_1(0)$ .
- $\zeta_1$  nonsmooth at 0, which follows from its homogeneity of degree 1 (except the trivial case where  $\zeta_1$  is linear),
- activated processes: to trigger  $z$  evolving, the driving force  $\varphi'_z(e(u), z)$  must reach an **activation threshold**, namely the boundary of  $K$ .

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Using the **convex-analysis calculus**  $(\partial\delta_K^*)^{-1} = \partial\delta_K$ , the evolution rule for  $z$

$$\partial\zeta_1\left(\frac{\partial z}{\partial t}\right) + \varphi'_z(e(u), z) \ni 0,$$

can be rewritten into the form of the so-called **sweeping process**

$$\frac{\partial z}{\partial t} \in \partial\delta_K(-\varphi'_z(e(u), z)).$$

Using the **maximal monotonicity** of  $\partial\zeta_1$  and Colli-Visintin concept,

$$\partial\zeta_1\left(\frac{\partial z}{\partial t}\right) + \varphi'_z(e(u), z) \ni 0,$$

can be rewritten into the form of an inequality

$$\int_Q (\omega + \varphi'_z(e(u), z)) \cdot \left(v - \frac{\partial z}{\partial t}\right) dx dt \geq 0$$

for all  $v, \omega$  such that  $\omega \in \partial\zeta_1(v)$  a.e. on  $Q := (0, T) \times \Omega$ ,  $T > 0$ .

Using the **maximal monotonicity** of  $\partial\zeta_1$  and its **potentiality**,

$$\partial\zeta_1\left(\frac{\partial z}{\partial t}\right) + \varphi'_z(e(u), z) \ni 0,$$

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$$\int_Q \varphi'_z(e(u), z) \cdot \left(v - \frac{\partial z}{\partial t}\right) + \zeta_1(v) \, dx dt \geq \int_Q \zeta_1\left(\frac{\partial z}{\partial t}\right) \, dx dt$$

for all  $v$ .

---

The last integral is the total variation of  $z : [0, T] \rightarrow L^1(Q; \mathbb{R}^m)$  w.r.t.  $\delta_K^*$ :

$$\int_Q \zeta_1\left(\frac{\partial z}{\partial t}\right) \, dx dt = \text{Var}_K(z; 0, T) := \sup \sum_{i=1}^k \int_{\Omega} \delta_K^*(z(t_i, x) - z(t_{i-1}, x)) \, dx$$

where the supremum is taken over all partitions

$$0 = t_0 < t_1 < \dots < t_k = T, \quad k \in \mathbb{N}.$$

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The integral  $\int_Q \varphi'_z(e(u), z) \cdot \frac{\partial z}{\partial t} \, dx dt = \int_Q \sigma_{in} \cdot \frac{\partial z}{\partial t} \, dx dt$  makes troubles:

only  $L^1$ -bounds for  $\frac{\partial z}{\partial t}$  and no  $L^\infty$ -compactness of  $\varphi'_z(e(u), z)$ . We use

$$\int_Q \sigma_{in} \frac{\partial z}{\partial t} \, dx dt = \int_\Omega \varphi(e(u(T)), z(T)) - \varphi(e(u_0), z_0) \, dx - \int_Q \sigma_{el} : e\left(\frac{\partial u}{\partial t}\right) \, dx dt,$$

counting also the initial condition

$$u(0, \cdot) = u_0, \quad \left(\text{later also } \frac{\partial u}{\partial t}(0, \cdot) = \dot{u}_0 \quad \& \quad z(0, \cdot) = z_0\right).$$

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$$\begin{aligned} \int_Q \sigma_{\text{in}} v + \zeta_1(v) \, dx dt &\geq \text{Var}_K(z; 0, T) + \int_{\Omega} \varphi(e(u(T)), z(T)) \, dx \\ &\quad - \int_{\Omega} \varphi(e(u_0), z_0) \, dx - \int_Q \sigma_{\text{el}} : e\left(\frac{\partial u}{\partial t}\right) \, dx dt. \end{aligned}$$

We can base a definition of a weak solution on this inequality;

$e\left(\frac{\partial u}{\partial t}\right)$  has to be controlled in the norm topology of  $L^2(Q; \mathbb{R}^{n \times n})$ ,  
coercivity of viscosity potential  $\zeta_2$  (uniform monotonicity of  $\zeta'_2$ ) needed.

Using the maximal monotonicity of  $\partial\zeta_1$  and its potentiality,

$$\partial\zeta_1\left(\frac{\partial z}{\partial t}\right) + \varphi'_z(e(u), z) \ni 0,$$

can be rewritten into the form of an inequality:  $\forall v$ :

$$\int_Q \sigma_{\text{in}} v + \zeta_1(v) \, dx dt \geq \text{Var}_K(z; 0, T) + \int_\Omega \varphi(e(u(T)), z(T)) \, dx \\ - \int_\Omega \varphi(e(u_0), z_0) \, dx - \int_Q \sigma_{\text{el}} : e\left(\frac{\partial u}{\partial t}\right) \, dx dt.$$

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Otherwise, we can still use the force equilibrium tested by  $\frac{\partial u}{\partial t}$ :

$$\int_Q \sigma_{\text{el}} : e\left(\frac{\partial u}{\partial t}\right) \, dx dt = \int_Q f \cdot \frac{\partial u}{\partial t} - 2\zeta_2\left(e\left(\frac{\partial u}{\partial t}\right)\right) \, dx dt + \frac{\rho}{2} \int_{\Omega} |\dot{u}_0|^2 - \left|\frac{\partial u}{\partial t}(T)\right|^2 \, dx.$$

Using the **monotonicity** and **potentiality** of  $\partial\zeta_1$  and the **force equilibrium**,

$$\partial\zeta_1\left(\frac{\partial z}{\partial t}\right) + \varphi'_z(e(u), z) \ni 0,$$

can be rewritten into the form of an inequality:  $\forall v$ :

$$\begin{aligned} \int_Q \sigma_{\text{in}} v + \zeta_1(v) \, dx dt &\geq \text{Var}_K(z; 0, T) + 2 \int_Q \zeta_2\left(e\left(\frac{\partial u}{\partial t}\right)\right) dx dt \\ &+ \int_\Omega \frac{\rho}{2} \left| \frac{\partial u}{\partial t}(T) \right|^2 + \varphi(e(u(T)), z(T)) \, dx \\ &- \int_\Omega \frac{\rho}{2} |\dot{u}_0|^2 + \varphi(e(u_0), z_0) \, dx - \int_Q f \cdot \frac{\partial u}{\partial t} \, dx dt. \end{aligned}$$

We can base a definition of a weak solution alternatively on this inequality;

$e\left(\frac{\partial u}{\partial t}\right)$  suffices to be controlled in the weak topology of  $L^2(Q; \mathbb{R}^{n \times n})$ .

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We can base a definition of a weak solution alternatively on this inequality;

in fact, both definitions are equivalent to each other

$$\text{if } \frac{\partial^2 u}{\partial t^2} \in L^2(I; W^{1,2}(\Omega; \mathbb{R}^n)^*).$$

## Assumptions:

$\varphi$  quadratic coercive on  $\mathbb{R}^{n \times n} \times \mathbb{R}^m$ ,

$\zeta_1 : \mathbb{R}^m \rightarrow \mathbb{R}$  be coercive, and

$\zeta_2 : \mathbb{R}^{n \times n} \rightarrow \mathbb{R}$  quadratic nonnegative,

$f \in L^1(I; L^2(\Omega; \mathbb{R}^n))$ .

A time step  $\tau > 0$  and

the recursive increment formula (Rothe method, implicit Euler method):

$$\rho \frac{u_\tau^k - 2u_\tau^{k-1} + u_\tau^{k-2}}{\tau^2} - \operatorname{div} \left( \zeta_2' \left( e \left( \frac{u_\tau^k - u_\tau^{k-1}}{\tau} \right) \right) + \varphi_e' \left( e(u_\tau^k), z_\tau^k \right) \right) = f_\tau^k,$$

$$\partial \zeta_1 \left( \frac{z_\tau^k - z_\tau^{k-1}}{\tau} \right) + \varphi_z' \left( e(u_\tau^k), z_\tau^k \right) \ni 0,$$

starting for  $k = 1$  with the initial conditions  $u_\tau^0 = u_0$ ,  $u_\tau^{-1} = u_0 - \tau \dot{u}_0$ ,  
and  $z_\tau^0 = z_0$ . Here  $f_\tau^k = \frac{1}{\tau} \int_{(k-1)\tau}^{k\tau} f(t) dt$ .

## Assumptions:

$$\begin{aligned} &\varphi \text{ quadratic coercive on } \mathbb{R}^{n \times n} \times \mathbb{R}^m, \\ &\zeta_1 : \mathbb{R}^m \rightarrow \mathbb{R} \text{ be coercive, and} \\ &\zeta_2 : \mathbb{R}^{n \times n} \rightarrow \mathbb{R} \text{ quadratic nonnegative,} \\ &f \in L^1(I; L^2(\Omega; \mathbb{R}^n)). \end{aligned}$$

A time step  $\tau > 0$  and

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and  $z_\tau^0 = z_0$ . Here  $f_\tau^k = \frac{1}{\tau} \int_{(k-1)\tau}^{k\tau} f(t) dt$ .



Existence of approximate solution  $(u_\tau^k, z_\tau^k)$ : minimization of the functional

$$\int_{\Omega} \frac{\tau^2 \varrho}{2} \left| \frac{u - 2u_\tau^{k-1} + u_\tau^{k-2}}{\tau^2} \right|^2 + \tau \zeta_1 \left( \frac{z - z_\tau^{k-1}}{\tau} \right) \\ + \tau \zeta_2 \left( e \left( \frac{u - u_\tau^{k-1}}{\tau} \right) \right) + \varphi(e(u), z) - f_\tau^k \cdot u \, dx$$

for  $(u, z) \in W^{1,2}(\Omega; \mathbb{R}^n) \times L^2(\Omega; \mathbb{R}^m)$ .

A-priori estimates for the piece-wise affine interpolant  $u_\tau$  and  
the piece-wise constant interpolant  $\bar{z}_\tau$ :

1) test by  $\frac{u_\tau^k - u_\tau^{k-1}}{\tau}$  and  $\frac{z_\tau^k - z_\tau^{k-1}}{\tau}$ :

$$\|u_\tau\|_{W^{1,\infty}(I; L^2(\Omega; \mathbb{R}^n)) \cap W^{1,2}(I; W^{1,2}(\Omega; \mathbb{R}^n))} \leq C,$$

$$\|\bar{z}_\tau\|_{L^\infty(I; L^2(\Omega; \mathbb{R}^m)) \cap BV(\bar{I}; L^1(\Omega; \mathbb{R}^m))} \leq C.$$

2) test by  $v \in L^2(I; W^{1,2}(\Omega; \mathbb{R}^n))$ :

$$\left\| \frac{du_\tau}{dt} \right\|_{BV(\bar{I}; (I; W^{1,2}(\Omega; \mathbb{R}^n))^*)} \leq C.$$

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Banach's selection principle:

$$u_\tau \overset{*}{\rightharpoonup} u \text{ in } W^{1,\infty}(I; L^2(\Omega; \mathbb{R}^n)) \cap W^{1,2}(I; W^{1,2}(\Omega; \mathbb{R}^n)),$$

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$$\frac{du_\tau}{dt}(t) \overset{*}{\rightharpoonup} \frac{du}{dt}(t) \text{ in } W^{1,2}(\Omega; \mathbb{R}^n)^* \quad (\text{and even in } L^2(\Omega; \mathbb{R}^n)),$$

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Convergence in:

$$\int_Q v + \zeta_1(v) \, dx dt \geq \int_Q \zeta_1\left(\frac{\partial z_\tau}{\partial t}\right) + 2\zeta_2\left(e\left(\frac{\partial u_\tau}{\partial t}\right)\right) \, dx dt$$

$$+ \int_\Omega \frac{\rho}{2} \left| \frac{\partial u_\tau}{\partial t}(T) \right|^2 + \varphi(e(u_\tau(T)), z_\tau(T)) \, dx$$

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Convergence in the alternative weak formulation:

$$\begin{aligned} \int_Q \varphi'_z(e(\bar{u}_\tau), \bar{z}_\tau) v + \zeta_1(v) \, dx dt \\ \geq \int_Q \zeta_1\left(\frac{\partial z_\tau}{\partial t}\right) \, dx dt + \int_\Omega \varphi(e(u_\tau(T)), z_\tau(T)) \, dx \\ - \int_\Omega \varphi(e(u_0), z_0) \, dx - \int_Q \varphi'_e(e(\bar{u}_\tau), \bar{z}_\tau) : e\left(\frac{\partial u_\tau}{\partial t}\right) \, dx dt \end{aligned}$$

needs additionally  $e\left(\frac{\partial u_\tau}{\partial t}\right) \rightarrow e\left(\frac{\partial u}{\partial t}\right)$  strongly in  $L^2(Q; \mathbb{R}^{n \times n})$

...to be proved later.

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Example: **Viscoplasticity with hardening** (for  $\zeta_2 = 0$  see H.-D.Alber).

The internal variables  $z = (\pi, \eta) \in \mathbb{R}^{n \times n} \times \mathbb{R}$ :

- $\pi$  the plastic strain,
- $\eta$  the hardening parameter.

The stored energy:

$$\varphi(e, z) = \varphi(e, \pi, \eta) = \frac{1}{2} \mathbb{C}(e - \pi) : (e - \pi) + b\eta^2$$

The homogeneous degree-1 dissipation potential is

$$\zeta_1(\dot{\pi}, \dot{\eta}) = \delta_P^*(\dot{\pi}) + \delta_K(\dot{\pi}, \dot{\eta})$$

where

- $\mathbb{C}$  is the positive-definite elasticity tensor,
- $b > 0$  is a hardening parameter,
- $P \subset \mathbb{R}^{3 \times 3}$  a convex closed neighbourhood of the origin,
- $\delta_P$  is its indicator function, and  $\delta_P^*$  its conjugate functional,
- $K := \{z = (\pi, \eta); \eta \geq \delta_P^*(\pi) \text{ a.e. on } \Omega\}$ .

So far, degree-1 homogeneity of  $\zeta_1$  was not exploited.

An alternative way to treat the inclusion  $-\sigma_{\text{in}} \in \partial\zeta_1\left(\frac{\partial z}{\partial t}\right)$ :

$$-\varphi'_z(e(u), z) =: \sigma_{\text{in}} \in \partial\zeta_1\left(\frac{\partial z}{\partial t}\right) \stackrel{\text{homogeneity degree-1}}{\subset} \partial\zeta_1(0) = K.$$

Definition of the subdifferential  $\partial\zeta_1(0)$ , this is just:

$$\zeta_1(0) \leq \zeta_1(v) + \varphi'_z(e(u), z) \cdot v \quad \forall v \in \mathbb{R}^m.$$

Convexity of  $\varphi(e, \cdot) \Rightarrow$

$$\varphi(e, z) \leq \varphi(e, v) - \varphi'_z(e, z)(v - z).$$

In sum (we have cancelling):

$$\varphi(e, z) \leq \varphi(e, v) + \zeta_1(v - z)$$

We use it for  $e = e(u)$ , integrate over  $\Omega$ , and call  $z$  (partially) stable at  $\cdot$  iff:

$$\int_{\Omega} \varphi(e(u(t)), z(t)) dx \leq \int_{\Omega} \varphi(e(u(t)), v) + \zeta_1(v - z(t)) dx \quad \forall v \in L^2(\Omega; \mathbb{R}^m).$$

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In sum (we have **cancelling**):

$$\varphi(e, z) \leq \varphi(e, v) + \zeta_1(v-z)$$

We use it for  $e = e(u)$ , integrate over  $\Omega$ , and call  $z$  (partially) **stable** at  $t$  iff:

$$\int_{\Omega} \varphi(e(u(t)), z(t)) dx \leq \int_{\Omega} \varphi(e(u(t)), v) + \zeta_1(v-z(t)) dx \quad \forall v \in L^2(\Omega; \mathbb{R}^m).$$

So far, degree-1 homogeneity of  $\zeta_1$  was not exploited.

An alternative way to treat the inclusion  $-\sigma_{\text{in}} \in \partial\zeta_1\left(\frac{\partial z}{\partial t}\right)$ :

$$-\varphi'_z(e(u), z) =: \sigma_{\text{in}} \in \partial\zeta_1\left(\frac{\partial z}{\partial t}\right) \overset{\text{homogeneity degree-1}}{\subset} \partial\zeta_1(0) = K.$$

Definition of the subdifferential  $\partial\zeta_1(0)$ , this is just:

$$0 \leq \zeta_1(v-z) + \varphi'_z(e(u), z)(v-z) \quad \forall v \in \mathbb{R}^m.$$

Convexity of  $\varphi(e, \cdot) \Rightarrow$

$$\varphi(e, z) \leq \varphi(e, v) - \varphi'_z(e, z)(v-z).$$

In sum (we have cancelling):

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We call  $(u, z)$  with  $u \in W^{1,2}(I; W^{1,2}(\Omega; \mathbb{R}^n))$   
and  $z \in \text{BV}(\bar{I}; L^1(\Omega; \mathbb{R}^m)) \cap \text{BM}(\bar{I}; L^2(\Omega; \mathbb{R}^m))$  (bounded measurable)  
an **weak/energetic solution** iff

- the mechanical equilibrium

$$\rho \frac{\partial^2 u}{\partial t^2} - \text{div} \left( \zeta_2' \left( e \left( \frac{\partial u}{\partial t} \right) \right) + \varphi_e'(e(u), z) \right) = f$$

holds in a weak sense,

- the energy inequality holds (involving Helmholtz' stored energy):

$$\begin{aligned} & \int_{\Omega} \frac{\rho}{2} \left| \frac{\partial u}{\partial t}(T) \right|^2 + \varphi(e(u(T)), z(T)) \, dx \\ & + \text{Var}_{\mathcal{K}}(z; 0, T) + 2 \int_Q \zeta_2 \left( e \left( \frac{\partial u}{\partial t} \right) \right) \, dx \, dt \\ & \leq \int_{\Omega} \frac{\rho}{2} |\dot{u}_0|^2 + \varphi(e(u_0), z_0) \, dx + \int_Q f \cdot \frac{\partial u}{\partial t} \, dx \, dt, \end{aligned}$$

- $z(t)$  is (partially) stable for all  $t \in [0, T]$ ,
- the initial conditions are satisfied.

Important: any weak/energetic solution is also a weak solution:

- by the definition of the directional derivative  $D\Phi(e(u(t)), z(t), v)$  of  $\Phi(e(u(t)), \cdot) : L^2(\Omega; \mathbb{R}^m) \rightarrow \mathbb{R}$  at  $z(t)$  in the direction  $v$  with  $\Phi(e, z) := \int_{\Omega} \varphi(e, z) dx$ ,
- by the stability of  $z$  at time  $t$  with respect to  $z(t) + \varepsilon v$  and
- by degree-1 homogeneity of  $\zeta_1$ ,

we obtain

$$\begin{aligned} \int_{\Omega} \varphi'_z(e(u(t)), z(t))v dx &= D\Phi(e(u(t)), z(t), v) \\ &:= \lim_{\varepsilon \downarrow 0} \frac{\Phi(e(u(t)), z(t)) - \Phi(e(u(t)), z(t) + \varepsilon v)}{\varepsilon} \\ &= \lim_{\varepsilon \downarrow 0} \int_{\Omega} \frac{\varphi(e(u(t)), z(t) + \varepsilon v) - \varphi(e(u(t)), z(t))}{\varepsilon} dx \\ &\geq - \lim_{\varepsilon \downarrow 0} \int_{\Omega} \frac{\zeta_1(z(t) + \varepsilon v - z(t))}{\varepsilon} dx = - \int_{\Omega} \zeta_1(v) dx. \end{aligned}$$

Integrating it over  $[0, T]$  and summing with energy inequality, we get just the inequality used in the definition of the weak solution.

Conversely, if  $\varphi(e, \cdot)$  is convex and if  $\frac{\partial z}{\partial t} \in L^1(Q; \mathbb{R}^m)$ , then  
any weak solution is also an energetic solution.

$$\text{We have } \int_Q \zeta_1\left(\frac{\partial z}{\partial t}\right) + \varphi'_z(e(u), z) \frac{\partial z}{\partial t} dx dt = 0;$$

here we use that the weak solution now satisfies  $\varphi'_z(e(u), z) \in -\partial\zeta_1\left(\frac{\partial z}{\partial t}\right)$  a.e. on  $Q$  and, by the definition of the subdifferential of  $\zeta_1$  used at  $\frac{\partial z}{\partial t}$ , we have  $\int_Q \zeta_1\left(\frac{\partial z}{\partial t}\right) - \xi \frac{\partial z}{\partial t} dx dt \leq 0$  for any  $\xi \in \partial\zeta_1\left(\frac{\partial z}{\partial t}\right)$ , in particular for  $\xi = -\varphi'_z(e(u), z)$ , while the opposite inequality follows from the degree-1 homogeneity of  $\zeta_1$  and definition of its subdifferential used at 0

$$\xi \frac{\partial z}{\partial t} = \zeta_1(0) + \xi \left( \frac{\partial z}{\partial t} - 0 \right) \leq \zeta_1\left(\frac{\partial z}{\partial t}\right)$$

for any  $\xi \in \partial\zeta_1(0) = K$  but  $K \supset \partial\zeta_1\left(\frac{\partial z}{\partial t}\right)$  because of the degree-1 homogeneity of  $\zeta_1$  hence again we can take  $\xi = -\varphi'_z(e(u), z)$ .

## Existence of the energetic solution (by approximation by Rothe's method):

Direct merging of the above assertions is not optimal: it would rely on  $\varphi(e, \cdot)$  convex and  $\frac{\partial z}{\partial t}$  absolutely continuous.

Yet, we can make a **direct proof**:

*Step 1: A-priori estimates* for approximate solutions as before,

*Step 2: selection of subsequences* by Banach's and Helly's principles, in particular:

$$\begin{aligned} e(\bar{u}_\tau(t)) &\rightharpoonup e(u(t)) && \text{weakly in } L^2(\Omega; \mathbb{R}^{n \times n}), \\ \bar{z}_\tau(t) &\rightharpoonup z(t) && \text{weakly in } L^2(\Omega; \mathbb{R}^m) \end{aligned}$$

for any  $t \in [0, T]$ .

Note that, because of viscosity, we have  $e(\bar{u}_\tau) \in \text{BV}(J; L^2(\Omega; \mathbb{R}^{n \times n}))$ .

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$$\begin{aligned} e(\bar{u}_\tau(t)) &\rightarrow e(u(t)) && \text{weakly in } L^2(\Omega; \mathbb{R}^{n \times n}), \\ \bar{z}_\tau(t) &\rightarrow z(t) && \text{weakly in } L^2(\Omega; \mathbb{R}^m) \end{aligned}$$

for any  $t \in [0, T]$ .

Note that, because of viscosity, we have  $e(\bar{u}_\tau) \in \text{BV}(I; L^2(\Omega; \mathbb{R}^{n \times n}))$ .

Step 3: stability: (minimization property & degree-1 homogeneity):

$$\int_{\Omega} \varphi(e(\bar{u}_{\tau}(t)), \bar{z}_{\tau}(t)) \, dx \leq \int_{\Omega} \varphi(e(\bar{u}_{\tau}(t)), v) + \zeta_1(v - \bar{z}_{\tau}(t)) \, dx \quad (*)$$

for all  $v \in L^2(\Omega; \mathbb{R}^m)$  and  $t \in [0, T]$ .

A limit passage:

for any  $\tilde{v} \in L^2(\Omega; \mathbb{R}^m)$ , use  $v_{\tau} = \tilde{v} - z(t) + \bar{z}_{\tau}(t)$  as a test function,

as  $\varphi$  is assumed quadratic, use the binomial formula " $a^2 - b^2 = (a-b)(a+b)$ "

$$\begin{aligned} \varphi(e(\bar{u}_{\tau}(t)), \bar{z}_{\tau}(t)) - \varphi(e(\bar{u}_{\tau}(t)), v_{\tau}) &= \frac{1}{2} \varphi'(0, \bar{z}_{\tau}(t) - v_{\tau})(2e(\bar{u}_{\tau}(t)), \bar{z}_{\tau}(t) + v_{\tau}) \\ &= \frac{1}{2} \varphi'(0, z(t) - \tilde{v})(2e(\bar{u}_{\tau}(t)), \bar{z}_{\tau}(t) + v_{\tau}) \\ &\rightarrow \frac{1}{2} \varphi'(0, z(t) - \tilde{v})(2e(u(t)), z(t) + v) \\ &= \varphi(e(u(t)), z(t)) - \varphi(e(u(t)), \tilde{v}) \end{aligned}$$

weakly in  $L^1(\Omega)$ . Moreover, we have simply  $\zeta_1(v_{\tau} - \bar{z}_{\tau}(t)) = \zeta_1(\tilde{v} - z(t))$ , so that the limit passage in (\*) is proved.

Step 4: a limit passage in the energy inequality as before

Step 3: stability: (minimization property & degree-1 homogeneity):

$$\int_{\Omega} \varphi(e(\bar{u}_{\tau}(t)), \bar{z}_{\tau}(t)) \, dx \leq \int_{\Omega} \varphi(e(\bar{u}_{\tau}(t)), v) + \zeta_1(v - \bar{z}_{\tau}(t)) \, dx \quad (*)$$

for all  $v \in L^2(\Omega; \mathbb{R}^m)$  and  $t \in [0, T]$ .

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$$\begin{aligned} \varphi(e(\bar{u}_{\tau}(t)), \bar{z}_{\tau}(t)) - \varphi(e(\bar{u}_{\tau}(t)), v_{\tau}) &= \frac{1}{2} \varphi'(0, \bar{z}_{\tau}(t) - v_{\tau})(2e(\bar{u}_{\tau}(t)), \bar{z}_{\tau}(t) + v_{\tau}) \\ &= \frac{1}{2} \varphi'(0, z(t) - \tilde{v})(2e(\bar{u}_{\tau}(t)), \bar{z}_{\tau}(t) + v_{\tau}) \\ &\rightarrow \frac{1}{2} \varphi'(0, z(t) - \tilde{v})(2e(u(t)), z(t) + v) \\ &= \varphi(e(u(t)), z(t)) - \varphi(e(u(t)), \tilde{v}) \end{aligned}$$

weakly in  $L^1(\Omega)$ . Moreover, we have simply  $\zeta_1(v_{\tau} - \bar{z}_{\tau}(t)) = \zeta_1(\tilde{v} - z(t))$ , so that the limit passage in (\*) is proved.

Step 4: a limit passage in the energy inequality as before.

In addition: **energy equality** (so-called *Step 5*):

consider  $\varepsilon > 0$ , and a partition  $0 = t_0^\varepsilon < t_1^\varepsilon < \dots < t_{k_\varepsilon}^\varepsilon \leq T$  with  $\max_{j=1, \dots, k_\varepsilon} (t_j^\varepsilon - t_{j-1}^\varepsilon) \leq \varepsilon$  and also  $T - t_{k_\varepsilon}^\varepsilon \leq \varepsilon$ .

stability of  $z$  at time  $t_{i-1}^\varepsilon$  gives, when tested by  $v := z(t_i^\varepsilon)$ , the estimate

$$\begin{aligned} \int_{\Omega} \varphi(e(u(t_{i-1}^\varepsilon)), z(t_{i-1}^\varepsilon)) \, dx &\leq \int_{\Omega} \varphi(e(u(t_i^\varepsilon)), z(t_i^\varepsilon)) + \zeta_1(z(t_i^\varepsilon) - z(t_{i-1}^\varepsilon)) \, dx \\ &= \int_{\Omega} \left( \varphi(e(u(t_i^\varepsilon)), z(t_i^\varepsilon)) + \zeta_1(z(t_i^\varepsilon) - z(t_{i-1}^\varepsilon)) \right. \\ &\quad \left. - \int_{t_{i-1}^\varepsilon}^{t_i^\varepsilon} \varphi'_e(e(u(s)), z(t_i^\varepsilon)) : e\left(\frac{\partial u}{\partial t}(s)\right) \, ds \right) \, dx. \end{aligned}$$

Also we use stability of  $z$  at time  $t_{k_\varepsilon}^\varepsilon$  tested by  $v := z(T)$ .

Summing it for  $i = 1, \dots, k_\varepsilon$  and assuming that  $\{t_i^\varepsilon\}_{i=1}^{k_\varepsilon}$  are chosen so that  $\frac{\partial}{\partial t} u(t_i^\varepsilon) \in W^{1,2}(\Omega; \mathbb{R}^n)$  are well defined, we obtain

$$\begin{aligned} & \int_{\Omega} \varphi(e(u(T)), z(T)) - \int_{\Omega} \varphi(e(u_0), z_0) \, dx + \text{Var}_{\mathcal{K}}(z; 0, T) \\ & \geq \sum_{i=1}^{k_\varepsilon} \int_{t_{i-1}^\varepsilon}^{t_i^\varepsilon} \int_{\Omega} \varphi'_e(e(u(s)), z(t_i^\varepsilon)) : e\left(\frac{\partial u}{\partial t}(s)\right) \, dx ds - \delta \\ & = \sum_{i=1}^{k_\varepsilon} (t_i^\varepsilon - t_{i-1}^\varepsilon) \int_{\Omega} \varphi'_e(e(u(t_i^\varepsilon)), z(t_i^\varepsilon)) : e\left(\frac{\partial u}{\partial t}(t_i^\varepsilon)\right) \, dx \\ & + \sum_{i=1}^{k_\varepsilon} \int_{t_{i-1}^\varepsilon}^{t_i^\varepsilon} \int_{\Omega} \left( \varphi'_e(e(u(s)), z(t_i^\varepsilon)) - \varphi'_e(e(u(t_i^\varepsilon)), z(t_i^\varepsilon)) \right) : e\left(\frac{\partial u}{\partial t}(s)\right) \, dx ds \\ & + \sum_{i=1}^{k_\varepsilon} \int_{t_{i-1}^\varepsilon}^{t_i^\varepsilon} \int_{\Omega} \varphi'_e(e(u(t_i^\varepsilon)), z(t_i^\varepsilon)) : e\left(\frac{\partial u}{\partial t}(s) - \frac{\partial u}{\partial t}(t_i^\varepsilon)\right) \, dx ds - \delta. \end{aligned}$$

Summing it for  $i = 1, \dots, k_\varepsilon$  and assuming that  $\{t_i^\varepsilon\}_{i=1}^{k_\varepsilon}$  are chosen so that  $\frac{\partial}{\partial t} u(t_i^\varepsilon) \in W^{1,2}(\Omega; \mathbb{R}^n)$  are well defined, we obtain

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Approximation of Lebesgue integral  $\int_0^T \int_{\Omega} \varphi'_e(e(u(t)), z(t)) : e\left(\frac{\partial u}{\partial t}\right) \, dx dt$   
by Riemann sums (HAHN, 1914, FRANCFORT, MIELKE, DAL MASO et al.)

Summing it for  $i = 1, \dots, k_\varepsilon$  and assuming that  $\{t_i^\varepsilon\}_{i=1}^{k_\varepsilon}$  are chosen so that  $\frac{\partial}{\partial t} u(t_i^\varepsilon) \in W^{1,2}(\Omega; \mathbb{R}^n)$  are well defined, we obtain

$$\begin{aligned} & \int_{\Omega} \varphi(e(u(T)), z(T)) - \int_{\Omega} \varphi(e(u_0), z_0) \, dx + \text{Var}_{\mathcal{K}}(z; 0, T) \\ & \geq \sum_{i=1}^{k_\varepsilon} \int_{t_{i-1}^\varepsilon}^{t_i^\varepsilon} \int_{\Omega} \varphi'_e(e(u(s)), z(t_i^\varepsilon)) : e\left(\frac{\partial u}{\partial t}(s)\right) \, dx ds - \delta \\ & = \sum_{i=1}^{k_\varepsilon} (t_i^\varepsilon - t_{i-1}^\varepsilon) \int_{\Omega} \varphi'_e(e(u(t_i^\varepsilon)), z(t_i^\varepsilon)) : e\left(\frac{\partial u}{\partial t}(t_i^\varepsilon)\right) \, dx \\ & + \sum_{i=1}^{k_\varepsilon} \int_{t_{i-1}^\varepsilon}^{t_i^\varepsilon} \int_{\Omega} \left( \varphi'_e(e(u(s)), z(t_i^\varepsilon)) - \varphi'_e(e(u(t_i^\varepsilon)), z(t_i^\varepsilon)) \right) : e\left(\frac{\partial u}{\partial t}(s)\right) \, dx ds \\ & + \sum_{i=1}^{k_\varepsilon} \int_{t_{i-1}^\varepsilon}^{t_i^\varepsilon} \int_{\Omega} \varphi'_e(e(u(t_i^\varepsilon)), z(t_i^\varepsilon)) : e\left(\frac{\partial u}{\partial t}(s) - \frac{\partial u}{\partial t}(t_i^\varepsilon)\right) \, dx ds - \delta. \end{aligned}$$

Convergence to 0 as  $|S_2^\varepsilon| \leq |\varphi'_e| \left\| e(u) \right\|_{W^{1,1}(0, T; L^2(\Omega; \mathbb{R}^{n \times n}))} \max_{i=1, \dots, k_\varepsilon} \max_{s \in [t_{i-1}^\varepsilon, t_i^\varepsilon]} \left\| e(u(s)) - e(u(t_i^\varepsilon)) \right\|_{L^2(\Omega; \mathbb{R}^{n \times n})}$



Summing it for  $i = 1, \dots, k_\varepsilon$  and assuming that  $\{t_i^\varepsilon\}_{i=1}^{k_\varepsilon}$  are chosen so that  $\frac{\partial}{\partial t} u(t_i^\varepsilon) \in W^{1,2}(\Omega; \mathbb{R}^n)$  are well defined, we obtain

$$\begin{aligned} & \int_{\Omega} \varphi(e(u(T)), z(T)) - \int_{\Omega} \varphi(e(u_0), z_0) \, dx + \text{Var}_{\mathcal{K}}(z; 0, T) \\ & \geq \sum_{i=1}^{k_\varepsilon} \int_{t_{i-1}^\varepsilon}^{t_i^\varepsilon} \int_{\Omega} \varphi'_e(e(u(s)), z(t_i^\varepsilon)) : e\left(\frac{\partial u}{\partial t}(s)\right) \, dx ds - \delta \\ & = \sum_{i=1}^{k_\varepsilon} (t_i^\varepsilon - t_{i-1}^\varepsilon) \int_{\Omega} \varphi'_e(e(u(t_i^\varepsilon)), z(t_i^\varepsilon)) : e\left(\frac{\partial u}{\partial t}(t_i^\varepsilon)\right) \, dx \\ & + \sum_{i=1}^{k_\varepsilon} \int_{t_{i-1}^\varepsilon}^{t_i^\varepsilon} \int_{\Omega} \left( \varphi'_e(e(u(s)), z(t_i^\varepsilon)) - \varphi'_e(e(u(t_i^\varepsilon)), z(t_i^\varepsilon)) \right) : e\left(\frac{\partial u}{\partial t}(s)\right) \, dx ds \\ & + \sum_{i=1}^{k_\varepsilon} \int_{t_{i-1}^\varepsilon}^{t_i^\varepsilon} \int_{\Omega} \varphi'_e(e(u(t_i^\varepsilon)), z(t_i^\varepsilon)) : e\left(\frac{\partial u}{\partial t}(s) - \frac{\partial u}{\partial t}(t_i^\varepsilon)\right) \, dx ds - \delta. \end{aligned}$$

Convergence to 0 as  $|S_3^\varepsilon| \leq \left\| \varphi'_e(e(u), z) \right\|_{L^\infty(I; L^2(\Omega; \mathbb{R}^{n \times n}))}$

$$\sum_{i=1}^{k_\varepsilon} \left\| e(u(t_i^\varepsilon)) - u(t_{i-1}^\varepsilon) - (t_i^\varepsilon - t_{i-1}^\varepsilon) \frac{\partial u}{\partial t}(t_i^\varepsilon) \right\|_{L^2(\Omega; \mathbb{R}^{n \times n})}$$

Summing it for  $i = 1, \dots, k_\varepsilon$  and assuming that  $\{t_i^\varepsilon\}_{i=1}^{k_\varepsilon}$  are chosen so that  $\frac{\partial}{\partial t} u(t_i^\varepsilon) \in W^{1,2}(\Omega; \mathbb{R}^n)$  are well defined, we obtain

$$\begin{aligned} & \int_{\Omega} \varphi(e(u(T)), z(T)) - \int_{\Omega} \varphi(e(u_0), z_0) \, dx + \text{Var}_{\mathcal{K}}(z; 0, T) \\ & \geq \sum_{i=1}^{k_\varepsilon} \int_{t_{i-1}^\varepsilon}^{t_i^\varepsilon} \int_{\Omega} \varphi'_e(e(u(s)), z(t_i^\varepsilon)) : e\left(\frac{\partial u}{\partial t}(s)\right) \, dx ds - \delta \\ & = \sum_{i=1}^{k_\varepsilon} (t_i^\varepsilon - t_{i-1}^\varepsilon) \int_{\Omega} \varphi'_e(e(u(t_i^\varepsilon)), z(t_i^\varepsilon)) : e\left(\frac{\partial u}{\partial t}(t_i^\varepsilon)\right) \, dx \\ & + \sum_{i=1}^{k_\varepsilon} \int_{t_{i-1}^\varepsilon}^{t_i^\varepsilon} \int_{\Omega} \left( \varphi'_e(e(u(s)), z(t_i^\varepsilon)) - \varphi'_e(e(u(t_i^\varepsilon)), z(t_i^\varepsilon)) \right) : e\left(\frac{\partial u}{\partial t}(s)\right) \, dx ds \\ & + \sum_{i=1}^{k_\varepsilon} \int_{t_{i-1}^\varepsilon}^{t_i^\varepsilon} \int_{\Omega} \varphi'_e(e(u(t_i^\varepsilon)), z(t_i^\varepsilon)) : e\left(\frac{\partial u}{\partial t}(s) - \frac{\partial u}{\partial t}(t_i^\varepsilon)\right) \, dx ds - \delta. \end{aligned}$$

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$$\delta := \left| \int_{t_{k_\varepsilon}^\varepsilon}^T \int_{\Omega} \varphi'_e(e(u(s)), z(T)) : e\left(\frac{\partial u}{\partial t}(s)\right) \, dx ds \right| \rightarrow 0 \quad \text{as } t_{k_\varepsilon}^\varepsilon \uparrow T \text{ for } \varepsilon \rightarrow 0.$$

## Advantages of the energetic approach:

- possibility to obtain balance of energy as an equality,
- $\varphi'_z$  has been eliminated from the definition of the energetic solution, which allows for a further generalization for cases where  $\varphi(e, \cdot)$  is nonsmooth and nonconvex, or even the set of  $z$  does not have a convex structure (gradient theories).
- Also  $\text{Var}_K$  can be generalized to more general distances that no longer relies on any linear structure on the set of  $z$  (quasi-variational inequalities of the type  $\partial\zeta_1(z, \frac{\partial z}{\partial t}) + \sigma_{\text{in}} \ni 0$ ).
- In the quasistatic case ( $\varrho = 0$ ,  $\zeta_2 = 0$ ), also  $\varphi'_e$  can be eliminated, and no linear structure for  $u$  is needed any more:

Recall that  $(u, z)$  with  $u \in W^{1,2}(I; W^{1,2}(\Omega; \mathbb{R}^n))$   
and  $z \in \text{BV}(\bar{I}; L^1(\Omega; \mathbb{R}^m)) \cap \text{BM}(\bar{I}; L^2(\Omega; \mathbb{R}^m))$  (bounded measurable)  
an **weak/energetic solution** iff

- the mechanical equilibrium

$$\rho \frac{\partial^2 u}{\partial t^2} - \text{div}(\zeta'_2(e(\frac{\partial u}{\partial t})) + \varphi'_e(e(u), z)) = f$$

holds in a weak sense,

- the energy inequality holds (involving Helmholtz' stored energy):

$$\begin{aligned} & \int_{\Omega} \frac{\rho}{2} \left| \frac{\partial u}{\partial t}(T) \right|^2 + \varphi(e(u(T)), z(T)) \, dx \\ & + \text{Var}_{\mathcal{K}}(z; 0, T) + 2 \int_Q \zeta_2\left(e\left(\frac{\partial u}{\partial t}\right)\right) \, dx dt \\ & \leq \int_{\Omega} \frac{\rho}{2} |\dot{u}_0|^2 + \varphi(e(u_0), z_0) \, dx \quad + \int_Q f \cdot \frac{\partial u}{\partial t} \, dx dt, \end{aligned}$$

- $z(t)$  is (partially) stable for all  $t \in [0, T]$ ,
- the initial conditions are satisfied.

It needs  $f \in L^1(I; L^2(\Omega; \mathbb{R}^n))$ .

We call alternatively  $(u, z)$  with  $u \in W^{1,2}(I; W^{1,2}(\Omega; \mathbb{R}^n))$   
and  $z \in \text{BV}(\bar{I}; L^1(\Omega; \mathbb{R}^m)) \cap \text{BM}(\bar{I}; L^2(\Omega; \mathbb{R}^m))$  (bounded measurable)  
an **weak/energetic solution** iff

- the mechanical equilibrium

$$\rho \frac{\partial^2 u}{\partial t^2} - \text{div}(\zeta'_2(e(\frac{\partial u}{\partial t})) + \varphi'_e(e(u), z)) = f$$

holds in a weak sense,

- the energy inequality holds (involving **Gibbs'** stored energy):

$$\begin{aligned} & \int_{\Omega} \frac{\rho}{2} \left| \frac{\partial u}{\partial t}(T) \right|^2 + \varphi(e(u(T)), z(T)) - f(T) \cdot u(T) \, dx \\ & + \text{Var}_{\mathcal{K}}(z; 0, T) + 2 \int_Q \zeta_2\left(e\left(\frac{\partial u}{\partial t}\right)\right) \, dx dt \\ & \leq \int_{\Omega} \frac{\rho}{2} |\dot{u}_0|^2 + \varphi(e(u_0), z_0) - f(0) \cdot u_0 \, dx - \int_Q \frac{\partial f}{\partial t} \cdot u \, dx dt, \end{aligned}$$

- $z(t)$  is (partially) stable for all  $t \in [0, T]$ ,
- the initial conditions are satisfied.

It needs  $f \in W^{1,1}(I; L^2(\Omega; \mathbb{R}^n))$ .

The **quasistatic** case ( $\varrho = 0$ ,  $\zeta_2 = 0$ ): we call  $u \in L^\infty(I; W^{1,2}(\Omega; \mathbb{R}^n))$  and  $z \in \text{BV}(\bar{I}; L^1(\Omega; \mathbb{R}^m)) \cap \text{BM}(\bar{I}; L^2(\Omega; \mathbb{R}^m))$  (bounded measurable) an **weak/energetic solution** iff

- the mechanical equilibrium

$$\varrho \frac{\partial^2 u}{\partial t^2} - \text{div}(\zeta_2'(e(\frac{\partial u}{\partial t})) + \varphi'_e(e(u), z)) = f$$

holds in a weak sense,

- the energy inequality holds (involving Gibbs' stored energy):

$$\begin{aligned} & \int_{\Omega} \frac{\varrho}{2} \left| \frac{\partial u}{\partial t}(T) \right|^2 + \varphi(e(u(T)), z(T)) - f(T) \cdot u(T) \, dx \\ & + \text{Var}_{\mathcal{K}}(z; 0, T) + 2 \int_Q \zeta_2 \left( e \left( \frac{\partial u}{\partial t} \right) \right) \, dx dt \\ & \leq \int_{\Omega} \frac{\varrho}{2} |\dot{u}_0|^2 + \varphi(e(u_0), z_0) - f(0) \cdot u_0 \, dx - \int_Q \frac{\partial f}{\partial t} \cdot u \, dx dt, \end{aligned}$$

- $z(t)$  is (partially) stable for all  $t \in [0, T]$ ,
- the initial conditions are satisfied.

It needs  $f \in W^{1,1}(I; L^2(\Omega; \mathbb{R}^n))$ .

The **quasistatic** case ( $\rho = 0$ ,  $\zeta_2 = 0$ ): we call  $u \in L^\infty(I; W^{1,2}(\Omega; \mathbb{R}^n))$  and  $z \in \text{BV}(\bar{I}; L^1(\Omega; \mathbb{R}^m)) \cap \text{BM}(\bar{I}; L^2(\Omega; \mathbb{R}^m))$  (bounded measurable) an **weak/energetic solution** iff

- the mechanical equilibrium (**minimal-energy principle**)

$$\int_{\Omega} \varphi(e(u(t)), z(t)) - f(t) \cdot u(t) \, dx \leq \int_{\Omega} \varphi(e(w), z(t)) - f(t) \cdot w \, dx$$

holds for all  $w \in W^{1,2}(\Omega; \mathbb{R}^n)$ ,

- the energy inequality holds (involving Gibbs' stored energy):

$$\int_{\Omega} \frac{\rho}{2} \left| \frac{\partial u}{\partial t}(T) \right|^2 + \varphi(e(u(T)), z(T)) - f(T) \cdot u(T) \, dx$$

$$+ \text{Var}_{\mathcal{K}}(z; 0, T) + 2 \int_Q \zeta_2 \left( e \left( \frac{\partial u}{\partial t} \right) \right) \, dx \, dt$$

$$\leq \int_{\Omega} \frac{\rho}{2} |\dot{u}_0|^2 + \varphi(e(u_0), z_0) - f(0) \cdot u_0 \, dx - \int_Q \frac{\partial f}{\partial t} \cdot u \, dx \, dt,$$

- $z(t)$  is (partially) stable for all  $t \in [0, T]$ ,
- the initial conditions are satisfied.

It needs  $f \in W^{1,1}(I; L^2(\Omega; \mathbb{R}^n))$ .

The **quasistatic** case ( $\varrho = 0$ ,  $\zeta_2 = 0$ ): we call  $u \in L^\infty(I; W^{1,2}(\Omega; \mathbb{R}^n))$  and  $z \in \text{BV}(\bar{I}; L^1(\Omega; \mathbb{R}^m)) \cap \text{BM}(\bar{I}; L^2(\Omega; \mathbb{R}^m))$  (bounded measurable) an **energetic solution** iff

- the mechanical equilibrium (**full stability**)

$$\int_{\Omega} \varphi(e(u(t)), z(t)) - f(t) \cdot u(t) dx \leq \int_{\Omega} \varphi(e(w), v) - f(t) \cdot w + \zeta_1(v - z(t)) dx$$

holds for all  $w \in W^{1,2}(\Omega; \mathbb{R}^n)$  and  $z \in L^2(\Omega; \mathbb{R}^m)$ ,

- the energy **equality** holds (involving stored energy):

$$\int_{\Omega} \varphi(e(u(T)), z(T)) - f(T) \cdot u(T) dx$$

$$+ \text{Var}_{\mathcal{K}}(z; 0, T)$$

$$= \int_{\Omega} \varphi(e(u_0), z_0) - f(0) \cdot u_0 dx - \int_Q \frac{\partial f}{\partial t} \cdot u dx dt,$$

- the initial conditions are satisfied.

It needs  $f \in W^{1,1}(I; L^2(\Omega; \mathbb{R}^n))$ .

(MIELKE, THEIL, 2001).



energetic solution  $\Rightarrow$  weak/energetic solution (simple)

1) strict convexity of  $\varphi(\cdot, z)$  (and B.C., say homogeneous Dirichlet):

$-\operatorname{div}(\varphi'_e(e(u), z)) = f(t)$  has the only solution  $u = u(t, z)$ .

Let us define the reduced stored energy  $\hat{\varphi}(t, z) = \varphi(e(u(t, z)), z) - f(t)$ .

It holds

$$\int_{\Omega} \hat{\varphi}(t, z) dx = \min_{w \in W_0^{1,2}(\Omega)} \int_{\Omega} \varphi(w, z) - f(t) \cdot w dx.$$

2)  $\sigma_{\text{in}} := \varphi'_z(e(u(t, z)), z)$  equals also  $\hat{\varphi}'_z(t, z)$  (“compliance” problem).

3) the reduced evolution  $\partial_{\Omega}(\frac{\partial \hat{\varphi}}{\partial z}) + \hat{\varphi}'_z(t, z) \ni 0$  leads to the stability condition  $\forall v \in L^2(\Omega; \mathbb{R}^m)$ :

energetic solution  $\Leftarrow$  weak/energetic solution (more difficult)

1) strict convexity of  $\varphi(\cdot, z)$  (and B.C., say homogeneous Dirichlet):

$-\operatorname{div}(\varphi'_e(e(u), z)) = f(t)$  has the only solution  $u = u(t, z)$ .

Let us define the reduced stored energy  $\hat{\varphi}(t, z) = \varphi(e(u(t, z)), z) - f(t)$ .

It holds

$$\int_{\Omega} \hat{\varphi}(t, z) dx = \min_{w \in W_0^{1,2}(\Omega)} \int_{\Omega} \varphi(w, z) - f(t) \cdot w dx.$$

2)  $\sigma_{\text{in}} := \varphi'_z(e(u(t, z)), z)$  equals also  $\hat{\varphi}'_z(t, z)$  (“compliance” problem).

3) the reduced evolution  $\partial \zeta_1(\frac{\partial z}{\partial t}) + \hat{\varphi}'_z(t, z) \ni 0$  leads to the stability condition  $\forall v \in L^2(\Omega; \mathbb{R}^m)$ :

energetic solution  $\Leftarrow$  weak/energetic solution (more difficult)

1) strict convexity of  $\varphi(\cdot, z)$  (and B.C., say homogeneous Dirichlet):

$-\operatorname{div}(\varphi'_e(e(u), z)) = f(t)$  has the only solution  $u = u(t, z)$ .

Let us define the reduced stored energy  $\hat{\varphi}(t, z) = \varphi(e(u(t, z))), z) - f(t)$ .

It holds

$$\int_{\Omega} \hat{\varphi}(t, z) dx = \min_{w \in W_0^{1,2}(\Omega)} \int_{\Omega} \varphi(w, z) - f(t) \cdot w dx.$$

2)  $\sigma_{\text{in}} := \varphi'_z(e(u(t, z)), z)$  equals also  $\hat{\varphi}'_z(t, z)$  (“compliance” problem).

3) the reduced evolution  $\partial \zeta_1(\frac{\partial z}{\partial t}) + \hat{\varphi}'_z(t, z) \ni 0$  leads to the stability condition  $\forall v \in L^2(\Omega; \mathbb{R}^m)$ :

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Let us define the reduced stored energy  $\hat{\varphi}(t, z) = \varphi(e(u(t, z))), z) - f(t)$ .

It holds

$$\int_{\Omega} \hat{\varphi}(t, z) dx = \min_{w \in W_0^{1,2}(\Omega)} \int_{\Omega} \varphi(w, z) - f(t) \cdot w dx.$$

2)  $\sigma_{\text{in}} := \varphi'_z(e(u(t, z)), z)$  equals also  $\hat{\varphi}'_z(t, z)$  (“compliance” problem).

3) the reduced evolution  $\partial \zeta_1(\frac{\partial z}{\partial t}) + \hat{\varphi}'_z(t, z) \ni 0$  leads to the stability condition  $\forall v \in L^2(\Omega; \mathbb{R}^m)$ :

energetic solution  $\Leftarrow$  weak/energetic solution (more difficult)

1) strict convexity of  $\varphi(\cdot, z)$  (and B.C., say homogeneous Dirichlet):

$-\operatorname{div}(\varphi'_e(e(u), z)) = f(t)$  has the only solution  $u = u(t, z)$ .

Let us define the reduced stored energy  $\hat{\varphi}(t, z) = \varphi(e(u(t, z))), z) - f(t)$ .

It holds

$$\int_{\Omega} \hat{\varphi}(t, z) dx = \min_{w \in W_0^{1,2}(\Omega)} \int_{\Omega} \varphi(w, z) - f(t) \cdot w dx.$$

2)  $\sigma_{\text{in}} := \varphi'_z(e(u(t, z)), z)$  equals also  $\hat{\varphi}'_z(t, z)$  (“compliance” problem).

3) the reduced evolution  $\partial \zeta_1(\frac{\partial z}{\partial t}) + \hat{\varphi}'_z(t, z) \ni 0$  leads to the stability condition  $\forall v \in L^2(\Omega; \mathbb{R}^m)$ :

$$\int_{\Omega} \hat{\varphi}(t, z(t)) dx \leq \int_{\Omega} \hat{\varphi}(t, v) + \zeta_1(v - z(t)) dx.$$

energetic solution  $\Leftarrow$  weak/energetic solution (more difficult)

1) strict convexity of  $\varphi(\cdot, z)$  (and B.C., say homogeneous Dirichlet):

$-\operatorname{div}(\varphi'_e(e(u), z)) = f(t)$  has the only solution  $u = u(t, z)$ .

Let us define the reduced stored energy  $\hat{\varphi}(t, z) = \varphi(e(u(t, z)), z) - f(t)$ .

It holds

$$\int_{\Omega} \hat{\varphi}(t, z) dx = \min_{w \in W_0^{1,2}(\Omega)} \int_{\Omega} \varphi(w, z) - f(t) \cdot w dx.$$

2)  $\sigma_{\text{in}} := \varphi'_z(e(u(t, z)), z)$  equals also  $\hat{\varphi}'_z(t, z)$  (“compliance” problem).

3) the reduced evolution  $\partial \zeta_1(\frac{\partial z}{\partial t}) + \hat{\varphi}'_z(t, z) \ni 0$  leads to the stability condition  $\forall v \in L^2(\Omega; \mathbb{R}^m)$ :

$$\int_{\Omega} \varphi(e(u(t), z(t)) - f(t) \cdot u(t) dx \leq \min_{w \in W^{1,2}(\Omega)} \int_{\Omega} \varphi(w, v) - f(t) \cdot w dx + \int_{\Omega} \zeta_1(v - z(t)) dx.$$

energetic solution  $\Leftarrow$  weak/energetic solution (more difficult)

1) strict convexity of  $\varphi(\cdot, z)$  (and B.C., say homogeneous Dirichlet):

$-\operatorname{div}(\varphi'_e(e(u), z)) = f(t)$  has the only solution  $u = u(t, z)$ .

Let us define the reduced stored energy  $\hat{\varphi}(t, z) = \varphi(e(u(t, z)), z) - f(t)$ .

It holds

$$\int_{\Omega} \hat{\varphi}(t, z) dx = \min_{w \in W_0^{1,2}(\Omega)} \int_{\Omega} \varphi(w, z) - f(t) \cdot w dx.$$

2)  $\sigma_{\text{in}} := \varphi'_z(e(u(t, z)), z)$  equals also  $\hat{\varphi}'_z(t, z)$  (“compliance” problem).

3) the reduced evolution  $\partial \zeta_1(\frac{\partial z}{\partial t}) + \hat{\varphi}'_z(t, z) \ni 0$  leads to the stability condition  $\forall v \in L^2(\Omega; \mathbb{R}^m)$ :

$$\int_{\Omega} \varphi(e(u(t), z(t)) - f(t) \cdot u(t) dx \leq \int_{\Omega} \varphi(w, v) - f(t) \cdot w + \zeta_1(v - z(t)) dx.$$

$\forall w \in W_0^{1,2}(\Omega)$ , i.e. **full stability** of  $(u(t), z(t))$ .

Convergence for **slow-loading** regimes to fully rate-independent evolution:  
scaling time as  $\varepsilon t$ :

**scaling**  $\rho$  as  $\varepsilon^2 \rho$  and  $\zeta_2$  as  $\varepsilon \zeta_2$  (while  $\zeta_1$  unchanged).

a-priori estimates for the weak/energetic solution  $(u_\varepsilon, z_\varepsilon)$ :

$$\begin{aligned} \left\| \frac{\partial u_\varepsilon}{\partial t} \right\|_{L^\infty(I; L^2(\Omega; \mathbb{R}^n))} &\leq \frac{C}{\varepsilon}, \\ \left\| e\left(\frac{\partial u_\varepsilon}{\partial t}\right) \right\|_{L^2(Q; \mathbb{R}^{n \times n})} &\leq \frac{C}{\sqrt{\varepsilon}}, \\ \left\| e(u_\varepsilon) \right\|_{L^\infty(I; L^2(\Omega; \mathbb{R}^{n \times n}))} &\leq C, \\ \left\| z_\varepsilon \right\|_{L^\infty(I; L^2(\Omega; \mathbb{R}^m)) \cap \text{BV}(\bar{I}; L^1(\Omega; \mathbb{R}^m))} &\leq C. \end{aligned}$$

Convergence for  $\varepsilon \rightarrow 0$  in the (weakly formulated) force equilibrium:

$$\int_Q \underbrace{\varepsilon \zeta_2'(e(\frac{\partial u_\varepsilon}{\partial t})) : e(v)}_{= \mathcal{O}(\sqrt{\varepsilon})} - \underbrace{\varepsilon^2 \rho \frac{\partial u_\varepsilon}{\partial t} \cdot \frac{\partial v}{\partial t}}_{= \mathcal{O}(\varepsilon)} + \varphi_e'(e(u_\varepsilon), z_\varepsilon) : e(v) - f \cdot v dx dt = 0$$



Convergence for **slow-loading** regimes to fully rate-independent evolution:  
scaling time as  $\varepsilon t$ :

**scaling**  $\varrho$  as  $\varepsilon^2 \varrho$  and  $\zeta_2$  as  $\varepsilon \zeta_2$  (while  $\zeta_1$  unchanged).

a-priori estimates for the weak/energetic solution  $(u_\varepsilon, z_\varepsilon)$ :

$$\begin{aligned} \left\| \frac{\partial u_\varepsilon}{\partial t} \right\|_{L^\infty(I; L^2(\Omega; \mathbb{R}^n))} &\leq \frac{C}{\varepsilon}, \\ \left\| e\left(\frac{\partial u_\varepsilon}{\partial t}\right) \right\|_{L^2(Q; \mathbb{R}^{n \times n})} &\leq \frac{C}{\sqrt{\varepsilon}}, \\ \left\| e(u_\varepsilon) \right\|_{L^\infty(I; L^2(\Omega; \mathbb{R}^{n \times n}))} &\leq C, \\ \left\| z_\varepsilon \right\|_{L^\infty(I; L^2(\Omega; \mathbb{R}^m)) \cap \text{BV}(\bar{I}; L^1(\Omega; \mathbb{R}^m))} &\leq C. \end{aligned}$$

Convergence for  $\varepsilon \rightarrow 0$  in the (weakly formulated) force equilibrium:

$$\int_Q \underbrace{\varepsilon \zeta_2'(e(\frac{\partial u_\varepsilon}{\partial t})) : e(v)}_{= \mathcal{O}(\sqrt{\varepsilon})} - \underbrace{\varepsilon^2 \varrho \frac{\partial u_\varepsilon}{\partial t} \cdot \frac{\partial v}{\partial t}}_{= \mathcal{O}(\varepsilon)} + \varphi_e'(e(u_\varepsilon), z_\varepsilon) : e(v) - f \cdot v dx dt = 0$$

Convergence for **slow-loading** regimes to fully rate-independent evolution:  
scaling time as  $\varepsilon t$ :

**scaling**  $\varrho$  as  $\varepsilon^2 \varrho$  and  $\zeta_2$  as  $\varepsilon \zeta_2$  (while  $\zeta_1$  unchanged).

a-priori estimates for the weak/energetic solution  $(u_\varepsilon, z_\varepsilon)$ :

$$\begin{aligned} \left\| \frac{\partial u_\varepsilon}{\partial t} \right\|_{L^\infty(I; L^2(\Omega; \mathbb{R}^n))} &\leq \frac{C}{\varepsilon}, \\ \left\| e \left( \frac{\partial u_\varepsilon}{\partial t} \right) \right\|_{L^2(Q; \mathbb{R}^{n \times n})} &\leq \frac{C}{\sqrt{\varepsilon}}, \\ \left\| e(u_\varepsilon) \right\|_{L^\infty(I; L^2(\Omega; \mathbb{R}^{n \times n}))} &\leq C, \\ \left\| z_\varepsilon \right\|_{L^\infty(I; L^2(\Omega; \mathbb{R}^m)) \cap \text{BV}(\bar{I}; L^1(\Omega; \mathbb{R}^m))} &\leq C. \end{aligned}$$

Convergence for  $\varepsilon \rightarrow 0$  in the partial stability:

$$\int_{\Omega} \varphi(e(u_\varepsilon(t)), z_\varepsilon(t)) dx \leq \int_{\Omega} \varphi(e(u_\varepsilon(t)), v) + \zeta_1(v - z_\varepsilon(t)) dx$$

by “binomial trick” relying on  $\varphi$  quadratic.

Convergence for **slow-loading** regimes to fully rate-independent evolution:  
scaling time as  $\varepsilon t$ :

**scaling**  $\varrho$  as  $\varepsilon^2 \varrho$  and  $\zeta_2$  as  $\varepsilon \zeta_2$  (while  $\zeta_1$  unchanged).

a-priori estimates for the weak/energetic solution  $(u_\varepsilon, z_\varepsilon)$ :

$$\begin{aligned} \left\| \frac{\partial u_\varepsilon}{\partial t} \right\|_{L^\infty(I; L^2(\Omega; \mathbb{R}^n))} &\leq \frac{C}{\varepsilon}, \\ \left\| e\left(\frac{\partial u_\varepsilon}{\partial t}\right) \right\|_{L^2(Q; \mathbb{R}^{n \times n})} &\leq \frac{C}{\sqrt{\varepsilon}}, \\ \left\| e(u_\varepsilon) \right\|_{L^\infty(I; L^2(\Omega; \mathbb{R}^{n \times n}))} &\leq C, \\ \left\| z_\varepsilon \right\|_{L^\infty(I; L^2(\Omega; \mathbb{R}^m)) \cap \text{BV}(\bar{I}; L^1(\Omega; \mathbb{R}^m))} &\leq C. \end{aligned}$$

Convergence for  $\varepsilon \rightarrow 0$  in the Gibbs-type energy inequality:

$$\begin{aligned} \int_{\Omega} \varepsilon^2 \varrho \left| \frac{\partial u_\varepsilon}{\partial t}(T) \right|^2 + \varphi(u_\varepsilon(T), z_\varepsilon(T)) - f(T) \cdot u_\varepsilon(T) \, dx + \int_Q \varepsilon \zeta_2 \left( \frac{\partial u_\varepsilon}{\partial t} \right) \, dx dt \\ + \text{Var}_K(z_\varepsilon; 0, T) = \int_{\Omega} \varepsilon^2 \varrho \left| \dot{u}_0 \right|^2 + \varphi(u_0, z_0) - f(0) \cdot u_0 \, dx - \int_Q \frac{\partial f}{\partial t} \cdot u_\varepsilon \, dx dt. \end{aligned}$$

Convergence for **slow-loading** regimes to fully rate-independent evolution:  
scaling time as  $\varepsilon t$ :

**scaling**  $\varrho$  as  $\varepsilon^2 \varrho$  and  $\zeta_2$  as  $\varepsilon \zeta_2$  (while  $\zeta_1$  unchanged).

a-priori estimates for the weak/energetic solution  $(u_\varepsilon, z_\varepsilon)$ :

$$\left\| \frac{\partial u_\varepsilon}{\partial t} \right\|_{L^\infty(I; L^2(\Omega; \mathbb{R}^n))} \leq \frac{C}{\varepsilon},$$

$$\left\| e\left(\frac{\partial u_\varepsilon}{\partial t}\right) \right\|_{L^2(Q; \mathbb{R}^{n \times n})} \leq \frac{C}{\sqrt{\varepsilon}},$$

$$\left\| e(u_\varepsilon) \right\|_{L^\infty(I; L^2(\Omega; \mathbb{R}^{n \times n}))} \leq C,$$

$$\left\| z_\varepsilon \right\|_{L^\infty(I; L^2(\Omega; \mathbb{R}^m)) \cap \text{BV}(\bar{I}; L^1(\Omega; \mathbb{R}^m))} \leq C.$$

Convergence for  $\varepsilon \rightarrow 0$  in the Gibbs-type energy inequality:

$$\int_{\Omega} \varepsilon^2 \varrho \left| \frac{\partial u_\varepsilon}{\partial t}(T) \right|^2 + \varphi(u_\varepsilon(T), z_\varepsilon(T)) - f(T) \cdot u_\varepsilon(T) \, dx + \int_Q \varepsilon \zeta_2 \left( \frac{\partial u_\varepsilon}{\partial t} \right) \, dx dt$$

$$+ \text{Var}_K(z_\varepsilon; 0, T) \leq \int_{\Omega} \varepsilon^2 \varrho \left| \dot{u}_0 \right|^2 + \varphi(u_0, z_0) - f(0) \cdot u_0 \, dx - \int_Q \frac{\partial f}{\partial t} \cdot u_\varepsilon \, dx dt.$$

Convergence for **slow-loading** regimes to fully rate-independent evolution:  
scaling time as  $\varepsilon t$ :

**scaling**  $\varrho$  as  $\varepsilon^2 \varrho$  and  $\zeta_2$  as  $\varepsilon \zeta_2$  (while  $\zeta_1$  unchanged).

a-priori estimates for the weak/energetic solution  $(u_\varepsilon, z_\varepsilon)$ :

$$\begin{aligned} \left\| \frac{\partial u_\varepsilon}{\partial t} \right\|_{L^\infty(I; L^2(\Omega; \mathbb{R}^n))} &\leq \frac{C}{\varepsilon}, \\ \left\| e\left(\frac{\partial u_\varepsilon}{\partial t}\right) \right\|_{L^2(Q; \mathbb{R}^{n \times n})} &\leq \frac{C}{\sqrt{\varepsilon}}, \\ \left\| e(u_\varepsilon) \right\|_{L^\infty(I; L^2(\Omega; \mathbb{R}^{n \times n}))} &\leq C, \\ \left\| z_\varepsilon \right\|_{L^\infty(I; L^2(\Omega; \mathbb{R}^m)) \cap \text{BV}(\bar{I}; L^1(\Omega; \mathbb{R}^m))} &\leq C. \end{aligned}$$

Convergence for  $\varepsilon \rightarrow 0$  in the Gibbs-type energy inequality:

$$\begin{aligned} \int_{\Omega} \varepsilon^2 \varrho \left| \frac{\partial u_\varepsilon}{\partial t}(T) \right|^2 + \varphi(u_\varepsilon(T), z_\varepsilon(T)) - f(T) \cdot u_\varepsilon(T) \, dx + \int_Q \varepsilon \zeta_2 \left( \frac{\partial u}{\partial t} \right) \, dx dt \\ + \text{Var}_K(z_\varepsilon; 0, T) \leq \int_{\Omega} \varepsilon^2 \varrho |\dot{u}_0|^2 + \varphi(u_0, z_0) - f(0) \cdot u_0 \, dx - \int_Q \frac{\partial f}{\partial t} \cdot u_\varepsilon \, dx dt. \end{aligned}$$

Definition of the **weak solution** now reduces to:

- force equilibrium  $-\operatorname{div}(\varphi'_e(e(u), z)) = f(t)$  (formulated weakly)
- variational inequality:

$$\int_Q \sigma_{\text{in}} v + \zeta_1(v) \geq \operatorname{Var}_K(z; 0, T) + \int_\Omega \varphi(e(u(T)), z(T)) - \varphi(e(u_0), z_0) \\ - f(T) \cdot u(T) + f(0) \cdot u_0 \, dx - \int_Q \frac{\partial f}{\partial t} \cdot u \, dx dt.$$

for all  $v$ .

---

Energetic solution  $\Rightarrow$  weak solution.

Energetic solution  $\Leftarrow$  weak solution  
if  $\frac{\partial u}{\partial t}$  and  $\frac{\partial z}{\partial t}$  integrable and  $\varphi(e, \cdot)$  convex.

In addition, a “viscous” regularization of  $\zeta_1$  in the form

$$\zeta_1(\dot{z}) = \delta_K^*(\dot{z}) + \frac{1}{2}|\dot{z}|^2.$$

The evolution of  $z$  would then look as

$$\partial\zeta_1\left(\frac{\partial z}{\partial t}\right) + \sigma_{\text{in}} = \partial\delta_K^*\left(\frac{\partial z}{\partial t}\right) + \frac{\partial z}{\partial t} + \sigma_{\text{in}} \ni 0$$

and the additional estimate

$$\left\| \frac{\partial z_\varepsilon}{\partial t} \right\|_{L^2(Q; \mathbb{R}^m)} \leq \frac{C}{\sqrt{\varepsilon}}.$$

Convergence to the weak solution is simple:

$$\begin{aligned} \int_Q \sigma_{\text{in}} \cdot v + \zeta_1(v) + \frac{\varepsilon}{2}|v|^2 \, dx dt \geq \\ + \int_\Omega \frac{\varepsilon^2 \vartheta}{2} \left| \frac{\partial u_\varepsilon}{\partial t}(T) \right|^2 + \varphi(e(u_\varepsilon(T)), z_\varepsilon(T)) - f(T) \cdot u_\varepsilon(T) \, dx \\ - \int_\Omega \frac{\varepsilon^2 \vartheta}{2} |u_0|^2 + \varphi(e(u_0), z_0) - f(0) \cdot u_0 \, dx - \int_Q \frac{\partial f}{\partial t} \cdot u_\varepsilon \, dx dt. \end{aligned}$$

Yet convergence to energetic solution is delicate:

In addition, a “viscous” regularization of  $\zeta_1$  in the form

$$\zeta_1(\dot{z}) = \delta_K^*(\dot{z}) + \frac{1}{2}|\dot{z}|^2.$$

Scaling for slow-loading  $\varepsilon t$  would lead to

$$\partial\delta_K^*\left(\frac{\partial z}{\partial t}\right) + \varepsilon \frac{\partial z}{\partial t} + \sigma_{\text{in}} \ni 0$$

and the additional estimate

$$\left\| \frac{\partial z_\varepsilon}{\partial t} \right\|_{L^2(Q; \mathbb{R}^m)} \leq \frac{C}{\sqrt{\varepsilon}}.$$

Convergence to the weak solution is simple:

$$\begin{aligned} \int_Q \sigma_{\text{in}, \varepsilon} v + \zeta_1(v) + \frac{\varepsilon}{2}|v|^2 \, dx dt &\geq \\ &+ \int_\Omega \frac{\varepsilon^2 \varrho}{2} \left| \frac{\partial u_\varepsilon}{\partial t}(T) \right|^2 + \varphi(e(u_\varepsilon(T)), z_\varepsilon(T)) - f(T) \cdot u_\varepsilon(T) \, dx \\ &- \int_\Omega \frac{\varepsilon^2 \varrho}{2} |\dot{u}_0|^2 + \varphi(e(u_0), z_0) - f(0) \cdot u_0 \, dx - \int_Q \frac{\partial f}{\partial t} \cdot u_\varepsilon \, dx dt. \end{aligned}$$

Yet convergence to energetic solution is delicate:





In addition, a “viscous” regularization of  $\zeta_1$  in the form

$$\zeta_1(\dot{z}) = \delta_K^*(\dot{z}) + \frac{1}{2}|\dot{z}|^2.$$

Scaling for slow-loading  $\varepsilon t$  would lead to

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Yet **convergence to energetic solution is delicate:**

(Dal Maso, Knees, Krejčí, Mielke, Ortner, Rossi, Savaré, Stefanelli, Zanini, etc.)

## Gradient theories.

Stored energy:  $\varphi = \varphi(e, z, \nabla z) + \delta_C(z)$  with  $C \subset \mathbb{R}^m$  convex closed.

Then (functional Gateaux' derivative):

$$\sigma_{\text{el}} = \left( \int_{\Omega} \varphi(e(u), z, \nabla z), dx \right)'_e \quad \text{and} \quad \sigma_{\text{in}} = \left( \int_{\Omega} \varphi(e(u), z, \nabla z), dx \right)'_z$$

i.e. the system looks now as

$$\rho \frac{\partial^2 u}{\partial t^2} - \operatorname{div} \left( \zeta_2' \left( e \left( \frac{\partial u}{\partial t} \right) \right) + \varphi'_e(e(u), z, \nabla z) \right) = f,$$

$$\partial \zeta_1 \left( \frac{\partial z}{\partial t} \right) + \varphi'_z(e(u), z, \nabla z) - \operatorname{div} \varphi'_{\nabla z}(e(u), z, \nabla z) + \partial \delta_C(z) \ni 0.$$

Nonconvex  $\varphi$  possible (compactness in  $z$ ):

$$\varphi(e, z, \nabla z) = \varphi_1(z) + \varphi_2(e, z, \nabla z), \quad \varphi_1 \text{ continuous, } \varphi_2 \text{ quadratic.}$$

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$\Rightarrow$  more nonlinearities,

in particular,  $\varphi(e, z, \nabla e, \nabla z) = \varphi_0(e, z, \nabla e, \nabla z) + \delta_C(e, z)$  with  
 $C \subset \mathbb{R}^{n \times n} \times \mathbb{R}^m$  convex closed possible if inertial effects neglected.

Nonlocal regularization of  $z$ : Instead of  $\frac{\kappa}{2} \int_{\Omega} |\nabla z|^2 dx$ , one can use

$$|z|_{\alpha}^2 = \frac{\kappa}{4} \int_{\Omega} \int_{\Omega} \frac{|z(x) - z(\xi)|^2}{|x - \xi|^{n+2\alpha}} dx d\xi$$

with  $0 < \alpha < 1$ .

No boundary conditions on  $z$ .

Then, instead of  $-\kappa \Delta z$ , we would get

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Example: **phase transformation** in shape-memory alloys:  $m > 1$ ,  $K$  bounded.

A “mixture” of quadratic energies in the form

$$\varphi(\mathbf{e}, z, \nabla z) := \sum_{\ell=1}^m z_{\ell} \frac{(\mathbf{e} - \mathbf{e}_{\ell})^{\top} \mathbb{C}_{\ell} (\mathbf{e} - \mathbf{e}_{\ell})}{2} + \psi(z) + \frac{|\nabla z|^2}{2} + \delta_C(z) \quad \text{with } \mathbf{e}_{\ell} := \frac{U_{\ell}^{\top} + U_{\ell}}{2},$$

with

- $U_{\ell}$  distortion matrices of particular pure phases (or phase variants),
- $z$ 's are volume fractions.
- $\psi$  reflects the differences between chemical energies,
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The philosophy of mixtures of austenite/martensite phases is by Frémond  
see also Colli, Hoffmann, Sprekels, Visintin, etc.

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cf. Auricchio, Boyd, Lagoudas, Lexcellent, Govindjee, Miehe, Jung, Papadopoulos, Ritchie, etc.

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Another way is the quasiconvexification under volume constrains, also called **cross-quasiconvexification**, see Mielke, Theil, Levitas.

Example: **Damage in visco-elastic materials.**

For a scalar model of damage,  $m = 1$ ,  $C = \mathbb{R}$ , and  $K := [-a, +\infty)$  where  $a > 0$  is an activation threshold for damage development.

The stored energy (quadratic in  $(z, \nabla z)$ )

$$\varphi(e, z, \nabla e, \nabla z) := z^2 C e : e + \frac{\kappa_1}{2} |\nabla e|^2 + \frac{\kappa_2}{2} |\nabla z|^2 \quad ,$$

Natural initial condition is  $z_0 = 1$ , i.e. undamaged material.

The dissipation energy:

$$\zeta_1(\dot{z}) = \delta_K^*(\dot{z}) = \underbrace{\delta_{(-\infty, 0]}(\dot{z})}_{\Rightarrow z \text{ is non-increasing in time}} - a \dot{z} \quad .$$

The coefficient  $\kappa_2 > 0$  is related with a certain “hardening” effects: activation threshold  $a$  is effectively increased/decreased at a given point if its surrounding is less/more damaged, respectively.

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Alternatively, damage contributes to the stored energy

(Frémond, Nedjar).



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Special techniques allows for  $\varphi(e, z, \nabla z) := z C e : e + \frac{\kappa_2}{2} |\nabla z|^2 + \delta_{[0, +\infty)}(z)$ .

## Thermodynamics:

The specific Helmholtz free energy

$$\psi = \psi(\mathbf{e}, \mathbf{z}, \nabla \mathbf{e}, \nabla \mathbf{z}, \theta)$$

with  $\theta$  temperature.Elastic stress:  $\sigma_{\text{el}} := \psi'_e - \text{div} \psi'_{\nabla e}$ .Inelastic stress:  $\sigma_{\text{in}} := \psi'_z - \text{div} \psi'_{\nabla z}$ .Entropy:  $s := -\psi'_\theta$ .Internal energy:  $w := \psi + \theta s$ .

Entropy equation:

$$\theta \frac{\partial s}{\partial t} + \text{div}(j) = \xi = \zeta_1 \left( \frac{\partial \mathbf{z}}{\partial t} \right) + 2\zeta_2 \left( \frac{\partial \mathbf{e}}{\partial t}, \nabla \frac{\partial \mathbf{e}}{\partial t} \right), \quad \underbrace{j = -\mathbb{K} \nabla \theta}_{\text{Fourier law}}.$$

Clausius-Duhem inequality:  $\frac{d}{dt} \int_{\Omega} s(t, \mathbf{x}) dx \geq 0$  (in an isolated system).

Energetics:

$$\frac{d}{dt} \int_{\Omega} \underbrace{\frac{\rho}{2} \left| \frac{\partial u}{\partial t} \right|^2 + w}_{\text{total energy}} dx = \underbrace{\int_{\Omega} f \cdot \frac{\partial u}{\partial t} dx + \int_{\Gamma} j dS}_{\text{power of external load and heat}}.$$

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## Partly linearized free energy:

$$\psi(e, z, \nabla e, \nabla z, \theta) := \varphi(e, z, \nabla e, \nabla z) + \theta \phi(e, z) - \psi_0(\theta).$$

⇒ entropy  $s = s(e, z, \theta) = \psi'_0(\theta) - \phi(e, z)$  separates variables

⇒ heat equation:

$$c(\theta) \frac{\partial \theta}{\partial t} - \kappa \Delta \theta = \zeta_1 \left( \frac{\partial z}{\partial t} \right) + 2\zeta_2 \left( \frac{\partial e}{\partial t}, \nabla \frac{\partial e}{\partial t} \right) + \theta \phi'_e(e, z) : \frac{\partial e}{\partial t} + \theta \phi'_z(e, z) \frac{\partial z}{\partial t}$$

with  $e = e(u)$  and the heat capacity  $c(\theta) = \theta \psi''_0(\theta)$ .

We will use  $\psi_0(\theta) := c_v \theta \ln(\theta)$  so that  $c(\theta) = c_v > 0$  constant.

A problem:  $L^1$ -estimates for  $\frac{\partial z}{\partial t}$  but no  $L^\infty$ -estimates for  $\theta$ .

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⇒ the adiabatic heat simplifies.

## Partly linearized free energy:

$$\psi(e, z, \nabla e, \nabla z, \theta) := \varphi(e, z, \nabla e, \nabla z) + \theta \phi(e, z) - \psi_0(\theta).$$

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Altogether, we thus will treat the system (for  $e = e(u)$ ):

$$\rho \frac{\partial^2 u}{\partial t^2} - \operatorname{div} \left( [\zeta_2]'_{\dot{e}} \left( \frac{\partial e}{\partial t}, \nabla \frac{\partial e}{\partial t} \right) + \varphi'_e(e, z, \nabla e, \nabla z) + \theta \phi'(e) \right. \\ \left. - \operatorname{div} [\zeta_2]'_{\nabla \dot{e}} \left( \frac{\partial e}{\partial t}, \nabla \frac{\partial e}{\partial t} \right) - \operatorname{div} \varphi'_{\nabla e}(e, z, \nabla e, \nabla z) \right) = f,$$

$$\partial \zeta_1 \left( \frac{\partial z}{\partial t} \right) + \varphi'_z(e, z, \nabla e, \nabla z) - \operatorname{div} \varphi'_{\nabla z}(e, z, \nabla e, \nabla z) \ni 0,$$

$$c_v \frac{\partial \theta}{\partial t} - \kappa \Delta \theta = \zeta_1 \left( \frac{\partial z}{\partial t} \right) + 2\zeta_2 \left( \frac{\partial e}{\partial t}, \nabla \frac{\partial e}{\partial t} \right) + \theta \phi'(e) : \frac{\partial e}{\partial t}.$$

---

$\zeta_1 \left( \frac{\partial z}{\partial t} \right)$  is now a measure;  $\left[ \zeta_1 \left( \frac{\partial z}{\partial t} \right) \right] ([t_1, t_2] \times A) := \operatorname{Var}_K(z|_A; [t_1, t_2])$ .

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We call a triple  $(u, z, \theta)$  with

$$u \in C_w(I; W^{2,2}(\Omega; \mathbb{R}^n)) \cap W^{1,2}(I; W^{1,2}(\Omega; \mathbb{R}^n)) \cap W^{1,\infty}(I; L^2(\Omega; \mathbb{R}^n)),$$

$$z \in \text{BM}(\bar{I}; W^{1,2}(\Omega; \mathbb{R}^m)) \cap \text{BV}(\bar{I}; L^1(\Omega; \mathbb{R}^m)),$$

$$\theta \in L^r(I; W^{1,r}(\Omega)) \cap L^\infty(I; L^\omega(\Omega)) \quad \text{with any } 1 \leq r < \frac{n+2\omega}{n+\omega},$$

an **energetic solution** if

- the weakly formulated balance of forces holds,
- the (very weakly formulated) heat equation holds,
- the total energy inequality holds

$$\begin{aligned} & \int_{\Omega} \frac{\rho}{2} \left| \frac{\partial u}{\partial t}(T) \right|^2 + \varphi(e(T), z(T), \nabla e(T), \nabla z(T)) + c_v \theta(T) \, dx \\ & \leq \int_{\Omega} \frac{\rho}{2} |\dot{u}_0|^2 + \varphi(e_0, z_0, \nabla e_0, \nabla z_0) + c_v \theta_0 \, dx + \int_Q f \cdot \frac{\partial u}{\partial t} \, dx dt, \end{aligned}$$

- the (partial) stability holds for any  $t \in [0, T]$ :  $\forall v \in L^2(\Omega; \mathbb{R}^m)$ :

$$\int_{\Omega} \varphi(e(t), z(t), \nabla e(t), \nabla z(t)) \, dx \leq \int_{\Omega} \varphi(e(t), v, \nabla e(t), \nabla v) + \zeta_1(v - z(t)) \, dx.$$

Important: any energetic solution is also a weak solution.

- as before, we use the stability of  $z$  at time  $t$  with respect to  $z(t) + \varepsilon v$  and degree-1 homogeneity of  $\zeta_1$ ,
- then energy equality in the force equilibrium (because  $\frac{\partial u^2}{\partial t^2}$  is in duality with  $\frac{\partial u}{\partial t}$ ),
- and now also energy equality in the thermal part (because 1 is in duality with  $\frac{\partial \theta}{\partial t}$ ).

The recursive increment formula (implicit Euler method):

$$\begin{aligned} \rho \frac{u_\tau^k - 2u_\tau^{k-1} + u_\tau^{k-2}}{\tau^2} - \operatorname{div} \left( [\zeta_2]'_{\dot{e}} \left( e \left( \frac{u_\tau^k - u_\tau^{k-1}}{\tau} \right), \nabla e \left( \frac{u_\tau^k - u_\tau^{k-1}}{\tau} \right) \right) \right. \\ \left. + \varphi'_e(e(u_\tau^k), z_\tau^k, \nabla e(u_\tau^k), \nabla z_\tau^k) + \theta_\tau^k \phi'(e(u_\tau^k)) \right. \\ \left. - \operatorname{div} \varphi'_{\nabla e}(e(u_\tau^k), z_\tau^k, \nabla e(u_\tau^k), \nabla z_\tau^k) \right. \\ \left. - \operatorname{div} [\zeta_2]'_{\nabla \dot{e}} \left( e \left( \frac{u_\tau^k - u_\tau^{k-1}}{\tau} \right), \nabla e \left( \frac{u_\tau^k - u_\tau^{k-1}}{\tau} \right) \right) \right) \\ \left. + \varepsilon \left| \frac{u_\tau^k - u_\tau^{k-1}}{\tau} \right|^2 \frac{u_\tau^k - u_\tau^{k-1}}{\tau} = f_\tau^k, \right. \\ \partial \zeta_1 \left( \frac{z_\tau^k - z_\tau^{k-1}}{\tau} \right) + \varphi'_z(e(u_\tau^k), z_\tau^k, \nabla z_\tau^k) \\ \left. - \operatorname{div} \varphi'_{\nabla z}(e(u_\tau^k), z_\tau^k, \nabla e^k, \nabla z_\tau^k) \ni 0, \right. \\ c_v \frac{\theta_\tau^k - \theta_\tau^{k-1}}{\tau} - \kappa \Delta \theta_\tau^k + \varepsilon |\theta_\tau^k|^2 \theta_\tau^k = \zeta_1 \left( \frac{z_\tau^k - z_\tau^{k-1}}{\tau} \right) \\ \left. + 2\zeta_2 \left( e \left( \frac{u_\tau^k - u_\tau^{k-1}}{\tau} \right), \nabla e \left( \frac{u_\tau^k - u_\tau^{k-1}}{\tau} \right) \right) + \theta_\tau^k \phi'(e(u_\tau^k)) : e \left( \frac{u_\tau^k - u_\tau^{k-1}}{\tau} \right). \right. \end{aligned}$$

By maximum principle  $\theta_\tau^k \geq 0$ .

Existence of  $(u_\tau^k, z_\tau^k, \theta_\tau^k)$  by a suitable regularization and limit passage.

$$\begin{aligned} & \rho \frac{u_\tau^k - 2u_\tau^{k-1} + u_\tau^{k-2}}{\tau^2} - \operatorname{div} \left( [\zeta_2]'_\dot{e} \left( e \left( \frac{u_\tau^k - u_\tau^{k-1}}{\tau} \right), \nabla e \left( \frac{u_\tau^k - u_\tau^{k-1}}{\tau} \right) \right) \right. \\ & \quad + \varphi'_e(e(u_\tau^k), z_\tau^k, \nabla e(u_\tau^k), \nabla z_\tau^k) + \theta_\tau^k \phi'(e(u_\tau^k)) \\ & \quad - \operatorname{div} \varphi'_{\nabla e}(e(u_\tau^k), z_\tau^k, \nabla e(u_\tau^k), \nabla z_\tau^k) \\ & \quad \left. - \operatorname{div} [\zeta_2]'_{\nabla \dot{e}} \left( e \left( \frac{u_\tau^k - u_\tau^{k-1}}{\tau} \right), \nabla e \left( \frac{u_\tau^k - u_\tau^{k-1}}{\tau} \right) \right) \right) \\ & \quad + \varepsilon \left| \frac{u_\tau^k - u_\tau^{k-1}}{\tau} \right|^2 \frac{u_\tau^k - u_\tau^{k-1}}{\tau} = f_\tau^k, \\ & \left( 1 + \varepsilon \zeta_1 \left( \frac{z_\tau^k - z_\tau^{k-1}}{\tau} \right) \right) \partial \zeta_1 \left( \frac{z_\tau^k - z_\tau^{k-1}}{\tau} \right) + \varphi'_z(e(u_\tau^k), z_\tau^k, \nabla z_\tau^k) \\ & \quad - \operatorname{div} \varphi'_{\nabla z}(e(u_\tau^k), z_\tau^k, \nabla e(u_\tau^k), \nabla z_\tau^k) \ni 0, \\ & c_v \frac{\theta_\tau^k - \theta_\tau^{k-1}}{\tau} - \kappa \Delta \theta_\tau^k + \varepsilon |\theta_\tau^k|^2 \theta_\tau^k = \zeta_1 \left( \frac{z_\tau^k - z_\tau^{k-1}}{\tau} \right) \\ & \quad + 2\zeta_2 \left( e \left( \frac{u_\tau^k - u_\tau^{k-1}}{\tau} \right), \nabla e \left( \frac{u_\tau^k - u_\tau^{k-1}}{\tau} \right) \right) + \theta_\tau^k \phi'(e(u_\tau^k)) : e \left( \frac{u_\tau^k - u_\tau^{k-1}}{\tau} \right). \end{aligned}$$

By maximum principle  $\theta_\tau^k \geq 0$ .



Proof sketched:

1) Test the mechanical part as we did for energy estimate and add also the heat equation tested by a constant 1:  
the energy estimate

$$\int_{\Omega} \frac{\rho}{2} \left| \frac{\partial u_{\tau}}{\partial t} \right|^2 + \varphi(e(\bar{u}_{\tau}), \bar{z}_{\tau}, \nabla e(\bar{u}_{\tau}), \nabla \bar{z}_{\tau}) + c_v \bar{\theta}_{\tau} dx \leq C$$

uniformly in time.

Using  $\theta_{\tau} \geq 0$ , we get a-priori estimates:

$$\begin{aligned} \left\| \frac{\partial u_{\tau}}{\partial t} \right\|_{L^{\infty}(I; L^2(\Omega; \mathbb{R}^n))} &\leq C, \\ \left\| e(u_{\tau}) \right\|_{L^{\infty}(I; W^{1,2}(\mathbb{R}^n \times \mathbb{R}^n))} &\leq C, \\ \left\| \bar{z}_{\tau} \right\|_{L^{\infty}(I; W^{1,2}(\Omega; \mathbb{R}^m))} &\leq C, \\ \left\| \bar{\theta}_{\tau} \right\|_{L^{\infty}(I; L^1(\Omega))} &\leq C. \end{aligned}$$

2) estimation of  $\nabla\theta_\tau$  as proposed by Boccardo, Gallouët, etc. Test the heat-transfer equation by  $\chi_i(\theta_\tau)$  with

$$\chi_i(\theta) := \begin{cases} 0 & \text{if } \theta \leq i, \\ \theta - i & \text{if } i \leq \theta \leq i + 1, \\ 1 & \text{if } \theta \geq i + 1. \end{cases}$$

- use Gagliardo-Nirenberg inequality several times,
- estimation of the mechanical part simultaneously with the heat equation
- precise interpolation of the adiabatic term

Then further a-priori estimates:

$$\left\| e\left(\frac{\partial u_\tau}{\partial t}\right) \right\|_{L^2(I; W^{1,2}(\mathbb{R}^{n \times n}))} \leq C,$$

$$\left\| \bar{z}_\tau \right\|_{\text{BV}(\bar{I}; L^1(\Omega; \mathbb{R}^m))} \leq C,$$

$$\left\| \bar{\theta}_\tau \right\|_{L^r(I; W^{1,r}(\Omega))} \leq C \quad \text{with any } 1 \leq r < \frac{n+2}{n+1},$$

$$\left\| \frac{\partial \theta_\tau}{\partial t} \right\|_{L^1(I; W^{-1-n/2,2}(\Omega))} \leq C.$$

## Convergence:

- strong convergence of  $\bar{\theta}_\tau$  by (generalized) Aubin-Lions' theorem,
- limit passage in the equilibrium-of-force equation,
- limit passage in the stability for any  $t \in [0, T]$  as before (since  $\theta$  is not involved as we assumed  $\phi'_z = 0$ ),
- limit passage in the energy inequality by weak lower semicontinuity,
- limit passage in the heat equation: tricky:

By weak lower/upper semicontinuity that

$$\begin{aligned}
 & \text{Var}_K(z; 0, T) + 2 \int_Q \zeta_2\left(e\left(\frac{\partial u}{\partial t}\right), \nabla e\left(\frac{\partial u}{\partial t}\right)\right) dxdt \\
 & \leq \liminf_{\tau \downarrow 0} \int_Q \zeta_1\left(\frac{\partial z_\tau}{\partial t}\right) + 2\zeta_2\left(e\left(\frac{\partial u}{\partial t}\right), \nabla e\left(\frac{\partial u}{\partial t}\right)\right) dxdt \leq \\
 & \leq \limsup_{\tau \downarrow 0} \int_Q \zeta_1\left(\frac{\partial z_\tau}{\partial t}\right) + 2\zeta_2\left(e\left(\frac{\partial u_\tau}{\partial t}\right), \nabla e\left(\frac{\partial u_\tau}{\partial t}\right)\right) dxdt \\
 & \leq \limsup_{\tau \downarrow 0} \left( - \int_\Omega \frac{\rho}{2} \left| \frac{\partial u_\tau}{\partial t}(T) \right|^2 \right. \\
 & \quad + \varphi(e(u_\tau(T)), z_\tau(T), \nabla e(u_\tau(T)), \nabla z_\tau(T)) - f(T) \cdot u_\tau(T) dx \\
 & \quad + \int_\Omega \frac{\rho}{2} |\dot{u}_0|^2 - \varphi(e(u_0), z_0, \nabla e(u_0), \nabla z_0) + f(0) \cdot u_0 dx \\
 & \quad \left. - \int_Q \frac{\partial f}{\partial t} \cdot u_\tau + \bar{\theta}_\tau \phi'(e(\bar{u}_\tau)) : e\left(\frac{\partial u_\tau}{\partial t}\right) dxdt \right) \\
 & = \text{Var}_K(z; 0, T) + 2 \int_Q \zeta_2\left(e\left(\frac{\partial u}{\partial t}\right), \nabla e\left(\frac{\partial u}{\partial t}\right)\right) dxdt.
 \end{aligned}$$

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 & \leq \limsup_{\tau \downarrow 0} \int_Q \zeta_1\left(\frac{\partial z_\tau}{\partial t}\right) + 2\zeta_2\left(e\left(\frac{\partial u_\tau}{\partial t}\right), \nabla e\left(\frac{\partial u_\tau}{\partial t}\right)\right) dxdt \\
 & \leq \limsup_{\tau \downarrow 0} \left( - \int_\Omega \frac{\rho}{2} \left| \frac{\partial u_\tau}{\partial t}(T) \right|^2 \right. \\
 & \quad + \varphi(e(u_\tau(T)), z_\tau(T), \nabla e(u_\tau(T)), \nabla z_\tau(T)) - f(T) \cdot u_\tau(T) dx \\
 & \quad + \int_\Omega \frac{\rho}{2} |\dot{u}_0|^2 - \varphi(e(u_0), z_0, \nabla e(u_0), \nabla z_0) + f(0) \cdot u_0 dx \\
 & \quad \left. - \int_Q \frac{\partial f}{\partial t} \cdot u_\tau + \bar{\theta}_\tau \phi'(e(\bar{u}_\tau)) : e\left(\frac{\partial u_\tau}{\partial t}\right) dxdt \right) \\
 & = \text{Var}_K(z; 0, T) + 2 \int_Q \zeta_2\left(e\left(\frac{\partial u}{\partial t}\right), \nabla e\left(\frac{\partial u}{\partial t}\right)\right) dxdt.
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 & \leq \limsup_{\tau \downarrow 0} \int_Q \zeta_1\left(\frac{\partial z_\tau}{\partial t}\right) + 2\zeta_2\left(e\left(\frac{\partial u_\tau}{\partial t}\right), \nabla e\left(\frac{\partial u_\tau}{\partial t}\right)\right) dxdt \\
 & \leq \limsup_{\tau \downarrow 0} \left( - \int_\Omega \frac{\rho}{2} \left| \frac{\partial u_\tau}{\partial t}(T) \right|^2 \right. \\
 & \quad + \varphi(e(u_\tau(T)), z_\tau(T), \nabla e(u_\tau(T)), \nabla z_\tau(T)) - f(T) \cdot u_\tau(T) dx \\
 & \quad + \int_\Omega \frac{\rho}{2} |\dot{u}_0|^2 - \varphi(e(u_0), z_0, \nabla e(u_0), \nabla z_0) + f(0) \cdot u_0 dx \\
 & \quad \left. - \int_Q \frac{\partial f}{\partial t} \cdot u_\tau + \bar{\theta}_\tau \phi'(e(\bar{u}_\tau)) : e\left(\frac{\partial u_\tau}{\partial t}\right) dxdt \right) \\
 & = \text{Var}_K(z; 0, T) + 2 \int_Q \zeta_2\left(e\left(\frac{\partial u}{\partial t}\right), \nabla e\left(\frac{\partial u}{\partial t}\right)\right) dxdt.
 \end{aligned}$$

We used:

energy balance as equality in  $z$ : by Riemann's sum argument,  
 energy balance as equality in  $u$ : (as  $\frac{\partial u^2}{\partial t^2}$  is in duality with  $\frac{\partial u}{\partial t}$ ),  
 and also adiabatic heat converges

$$\bar{\theta}_\tau \phi'(e(\bar{u}_\tau)) : e\left(\frac{\partial u_\tau}{\partial t}\right) \rightarrow \theta \phi'(e(u)) : e\left(\frac{\partial u}{\partial t}\right) \quad \text{strongly in } L^1(Q)$$

and  $\bar{\theta}_\tau \rightarrow \theta$  strongly (Aubin-Lions theorem).

$$\Rightarrow \lim_{\tau \downarrow 0} \int_Q \zeta_1\left(\frac{\partial z_\tau}{\partial t}\right) dx dt = \text{Var}_K(z; 0, T)$$

$$\Rightarrow \zeta_1\left(\frac{\partial z_\tau}{\partial t}\right) \overset{*}{\rightharpoonup} \zeta_1\left(\frac{\partial z}{\partial t}\right) \text{ in measures.}$$

and also

$$\Rightarrow \lim_{\tau \downarrow 0} \int_Q \zeta_2\left(e\left(\frac{\partial u_\tau}{\partial t}\right), \nabla e\left(\frac{\partial u_\tau}{\partial t}\right)\right) dx dt = \int_Q \zeta_2\left(e\left(\frac{\partial u}{\partial t}\right), \nabla e\left(\frac{\partial u}{\partial t}\right)\right) dx dt$$

$$\Rightarrow \zeta_2\left(e\left(\frac{\partial u_\tau}{\partial t}\right), \nabla e\left(\frac{\partial u_\tau}{\partial t}\right)\right) \rightharpoonup \zeta_2\left(e\left(\frac{\partial u}{\partial t}\right), \nabla e\left(\frac{\partial u}{\partial t}\right)\right) \text{ in } L^1(Q) \text{ (even strongly).}$$

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and  $\bar{\theta}_\tau \rightarrow \theta$  strongly (Aubin-Lions theorem).

$$\Rightarrow \lim_{\tau \downarrow 0} \int_Q \zeta_1\left(\frac{\partial z_\tau}{\partial t}\right) dx dt = \text{Var}_K(z; 0, T)$$

$$\Rightarrow \zeta_1\left(\frac{\partial z_\tau}{\partial t}\right) \overset{*}{\rightharpoonup} \zeta_1\left(\frac{\partial z}{\partial t}\right) \text{ in measures.}$$

and also

$$\Rightarrow \lim_{\tau \downarrow 0} \int_Q \zeta_2\left(e\left(\frac{\partial u_\tau}{\partial t}\right), \nabla e\left(\frac{\partial u_\tau}{\partial t}\right)\right) dx dt = \int_Q \zeta_2\left(e\left(\frac{\partial u}{\partial t}\right), \nabla e\left(\frac{\partial u}{\partial t}\right)\right) dx dt$$

$$\Rightarrow \zeta_2\left(e\left(\frac{\partial u_\tau}{\partial t}\right), \nabla e\left(\frac{\partial u_\tau}{\partial t}\right)\right) \rightharpoonup \zeta_2\left(e\left(\frac{\partial u}{\partial t}\right), \nabla e\left(\frac{\partial u}{\partial t}\right)\right) \text{ in } L^1(Q) \text{ (even strongly).}$$



Example :

Thermoplasticity with isotropic thermal expansion and hardening:

Free energy:

$$\psi(e, \pi, \eta, \theta) = \frac{\mathbb{C}}{2} (e - \pi - \alpha \theta \mathbb{I}) : (e - \pi - \alpha \theta \mathbb{I}) - \frac{\alpha^2 \mathbb{C} \mathbb{I} : \mathbb{I}}{2} \theta^2 + b \eta^2 - c_v \theta \ln(\theta)$$

where  $\alpha$  is an thermal expansion coefficient,

$\pi$  plastic strain,

$\eta$  hardening.

The homogeneous degree-1 dissipation potential is

$$\zeta_1(\dot{\pi}, \dot{\eta}) = \delta_P^*(\dot{\pi}) + \delta_K(\dot{\pi}, \dot{\eta}).$$

The ansatz with the coupling term  $\theta \phi(e)$  is satisfied because  $\alpha \theta \mathbb{I} : \pi = 0$  since  $\text{tr}(\pi) = 0$ .

Here, higher gradients in  $\zeta_2$  should be still added (or, alternatively, nonlinear viscosity the a “ $p$ -growth/coercivity”,  $p > 1 + n/2$ ).

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## Example: combination of thermally expansive material

- $\mathbb{C}_2$  elastic-moduli tensor,
- $\mathbb{E}$  matrix of thermal-expansion coefficients,

with a material undergoing damage.

- $\mathbb{C}_1$  elastic-moduli tensor,
- $a_0$  specific energy dissipated into change of structure,
- $a_1$  specific energy dissipated into heat.

Free energy:

$$\begin{aligned} \psi(e, z, \nabla z, \theta) := & z\mathbb{C}_1 e:e + \frac{1}{2}\mathbb{C}_2(e+\theta\mathbb{E}):(e+\theta\mathbb{E}) - \frac{\mathbb{C}_2\mathbb{E}:\mathbb{E}}{2}\theta^2 \\ & + \frac{\kappa}{2}|\nabla z|^2 - c_v\theta \ln(\theta) - a_0z + \delta_{[0,+\infty)}(z), \end{aligned}$$

i.e.  $\phi(e) = \mathbb{C}_2\mathbb{E}:e$ .

The dissipation energy:  $\zeta_1(\dot{z}) = \delta_K^*(\dot{z}) = \delta_{(-\infty, 0]}(\dot{z}) - a_1\dot{z}$ .

Thanks a lot for your attention.

Gracie per la vostra gentile attenzione

Vielen dank für Ihre Beachtung.

Merci bien pour votre attention.

Děkuji za pozornost.